

# Super-Diffraction Limited Wide Field Imaging and Microfabrication Based on Plasmonics

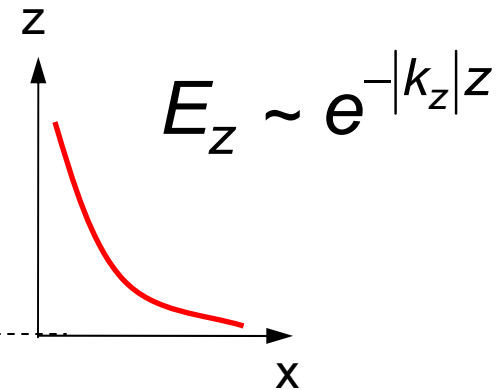
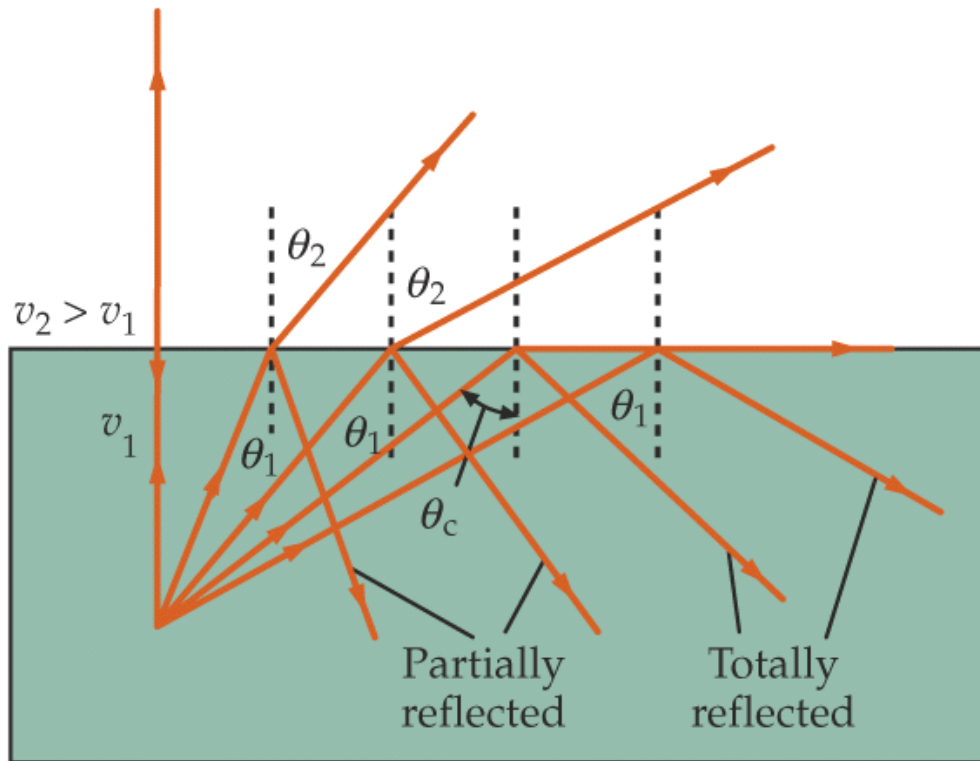
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Shyamsunder, Colin J. R. Sheppard

MIT, Boston University, National University of Singapore

# Overview

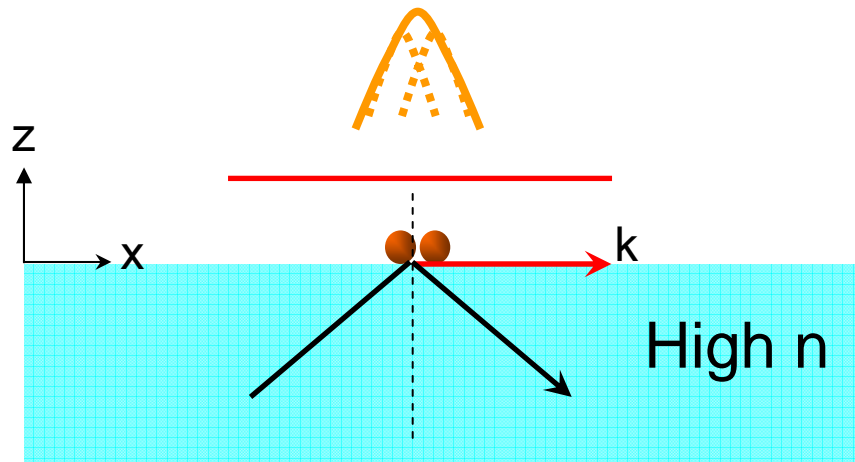
- Background
  - Total internal reflection
- Motivation
- Theory
  - Surface Plasmon and Photon coupling
- Experimental Setup
- Experiment & Results
- Future work

# Total internal reflection (TIR)



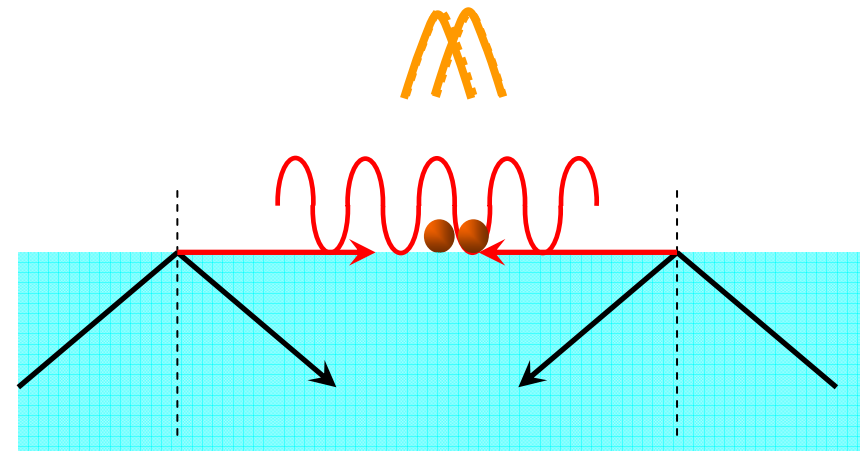
$$E = E_0 \exp[i(k_x x + k_z z - \omega t)]$$

# TIR imaging



$$I(x) = |Ee^{i(kx - \omega t)}|^2 = E^2$$

Uniform excitation

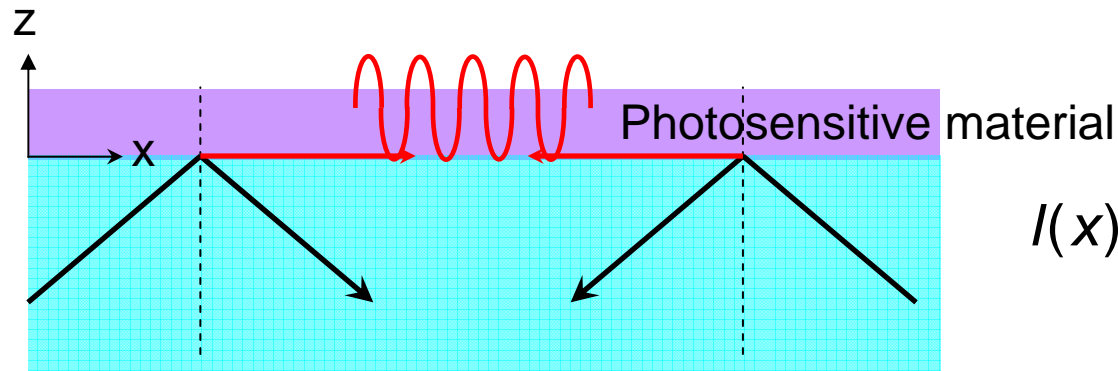


$$I(x) = |Ee^{i(kx - \omega t) + \phi} + Ee^{i(-kx - \omega t) + \phi}|^2$$

$$= 2E^2 [1 + \cos(2kx) + \phi]$$

Standing wave excitation

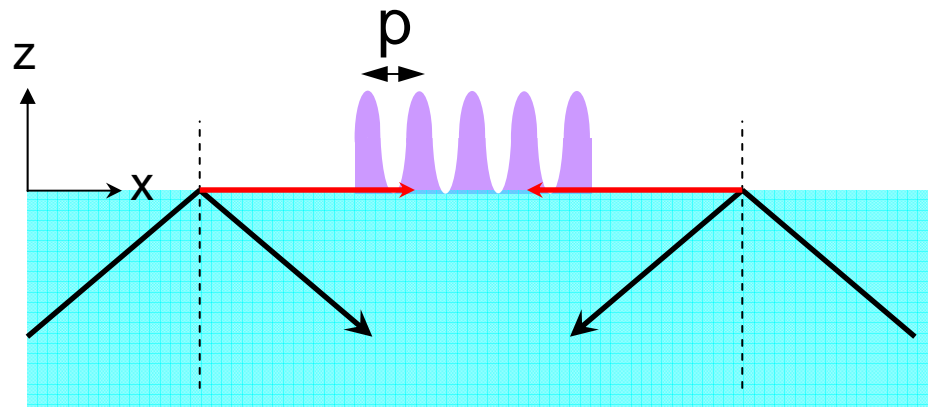
# TIR lithography



$$I(x) = 2E^2 [1 + \cos(2kx)]$$

$$k = \frac{2\pi n \sin \theta}{\lambda}$$

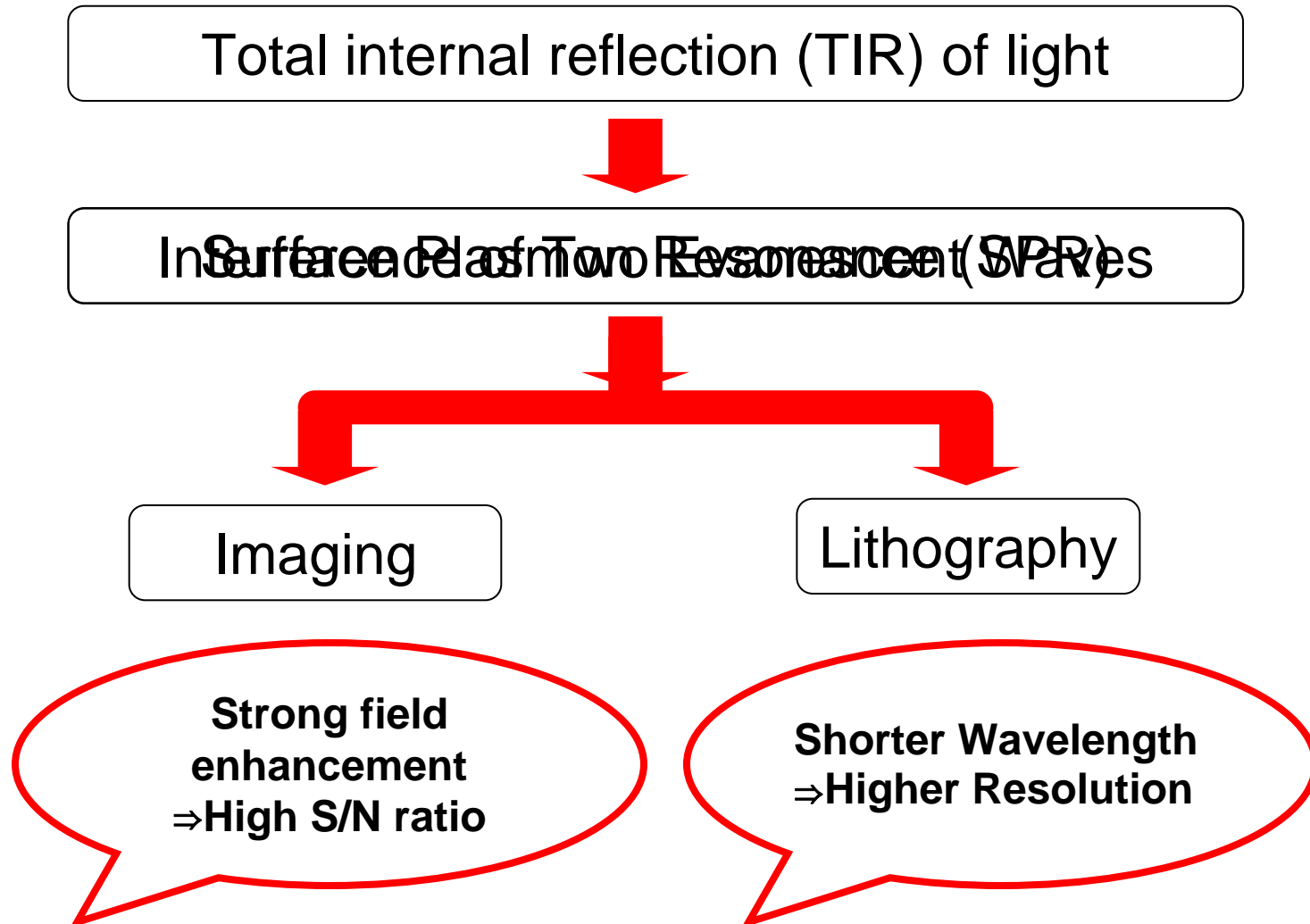
$$p = \lambda / (2n \sin \theta)$$



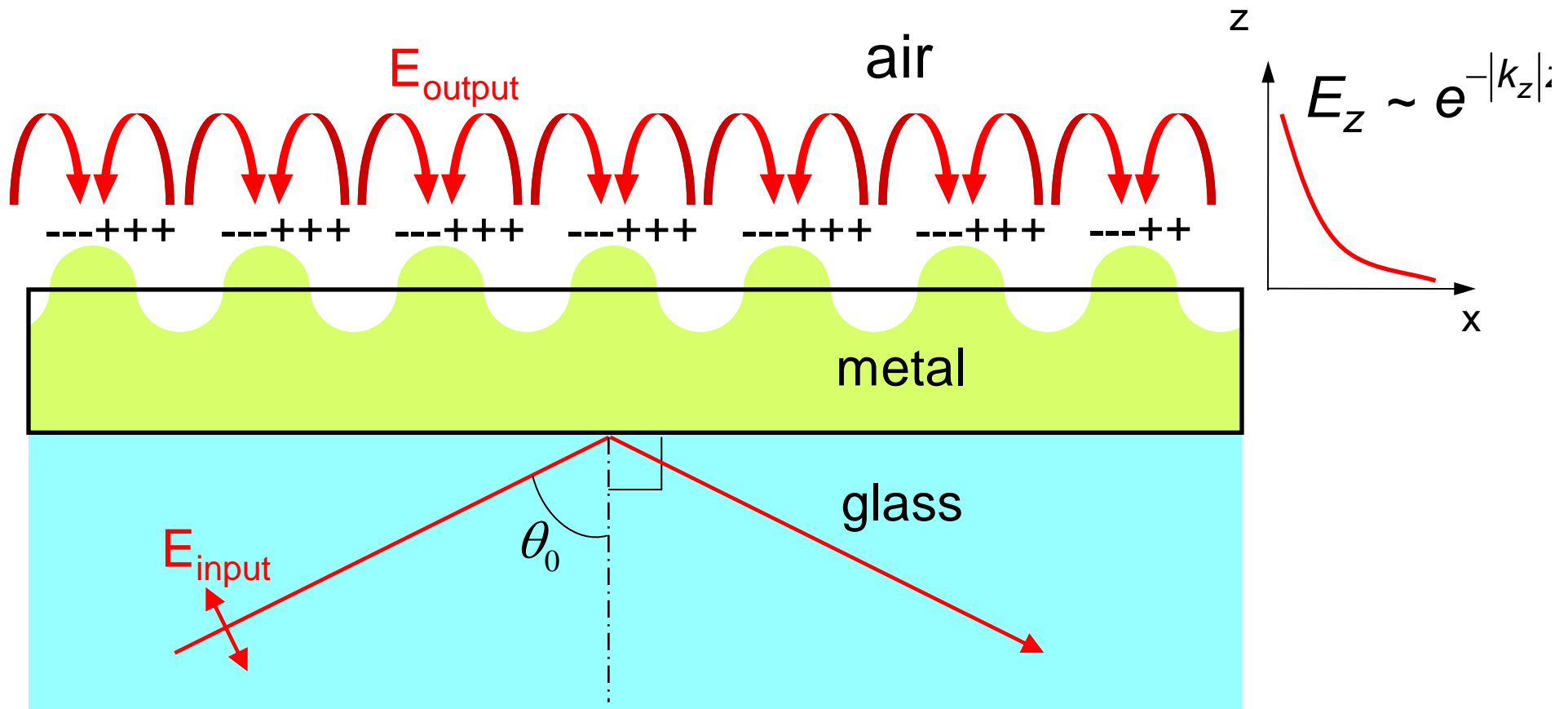
(Ecoffet et al., Adv. Mater., 1998)

p: period of the pattern, n: refractive index

# Motivation

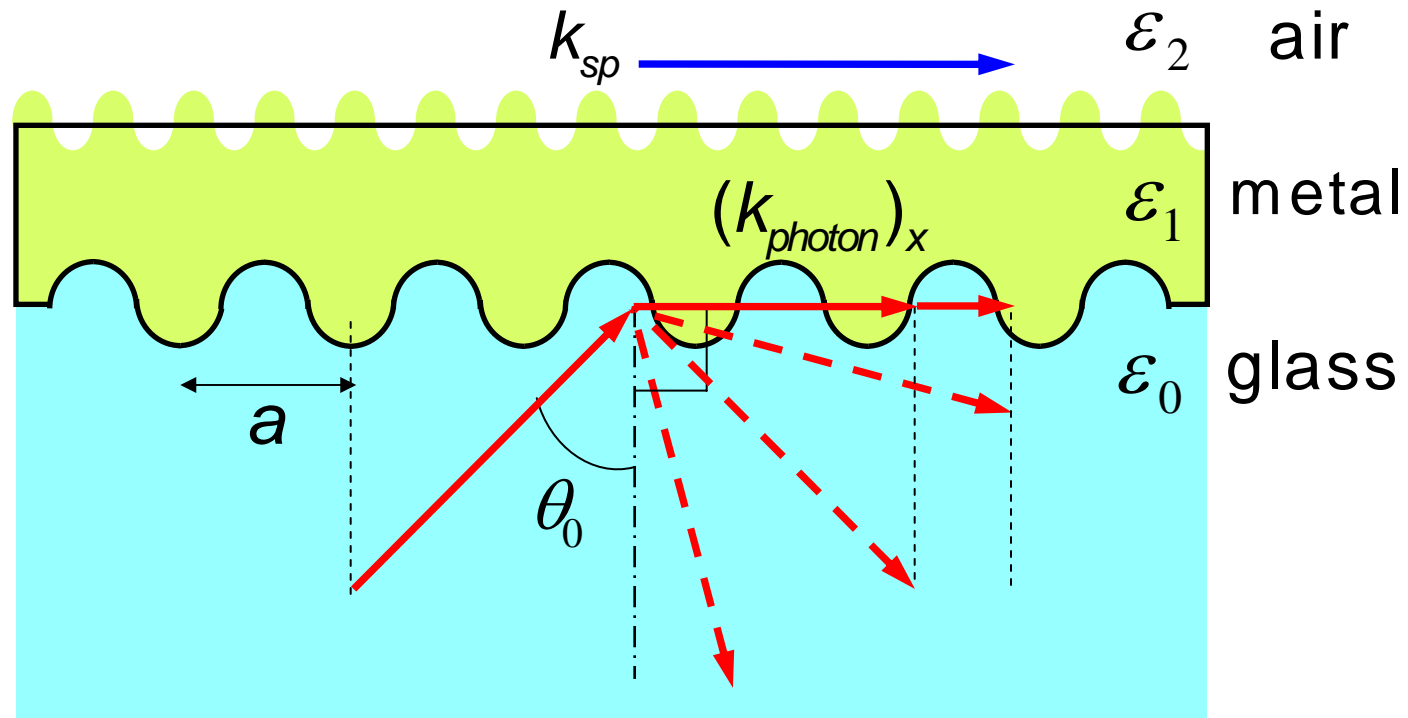


# Field enhancement by SPR



$$\frac{E_{output}}{E_{input}} = 20 \sim 200!!$$

# Higher resolution by SPR (I)



$$k_{sp} = (k_{photon})_x = \sqrt{\epsilon_0} \frac{\omega}{c} \sin \theta_0 + mg \quad (g = 2\pi / a)$$

k: wavevector, a: grating period, m: diffraction order,  $\epsilon$ : dielectric constant



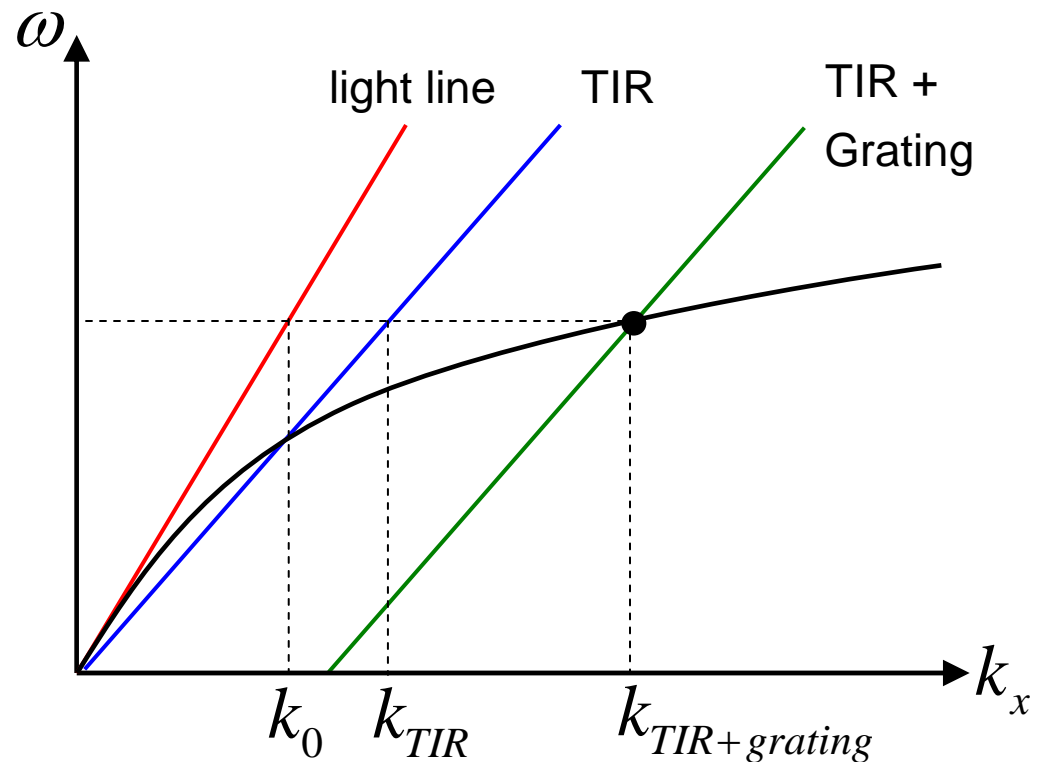


# Higher resolution by SPR (II)

$$E = \hbar\omega \quad (\hbar = h/2\pi)$$

$$p = \hbar k$$

$$\left\{ \begin{array}{l} k_{\text{surface plasmon}} = \frac{\omega}{c} \left( \frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2} \\ (k_{\text{photon}})_x = \sqrt{\epsilon_0} \left( \frac{\omega}{c} \sin \theta_0 + mg \right) \end{array} \right.$$

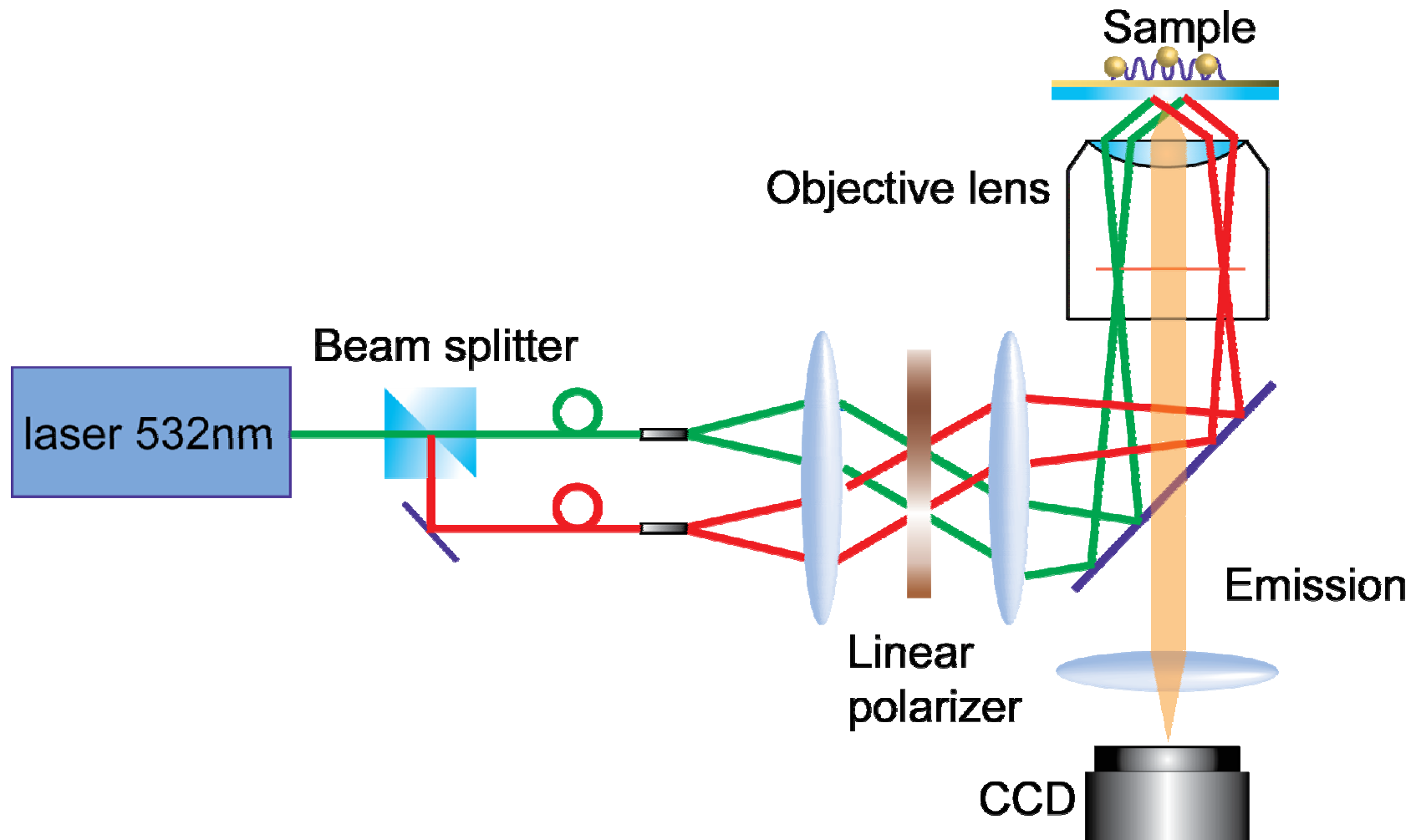


532 nm ➡ 104 nm !!

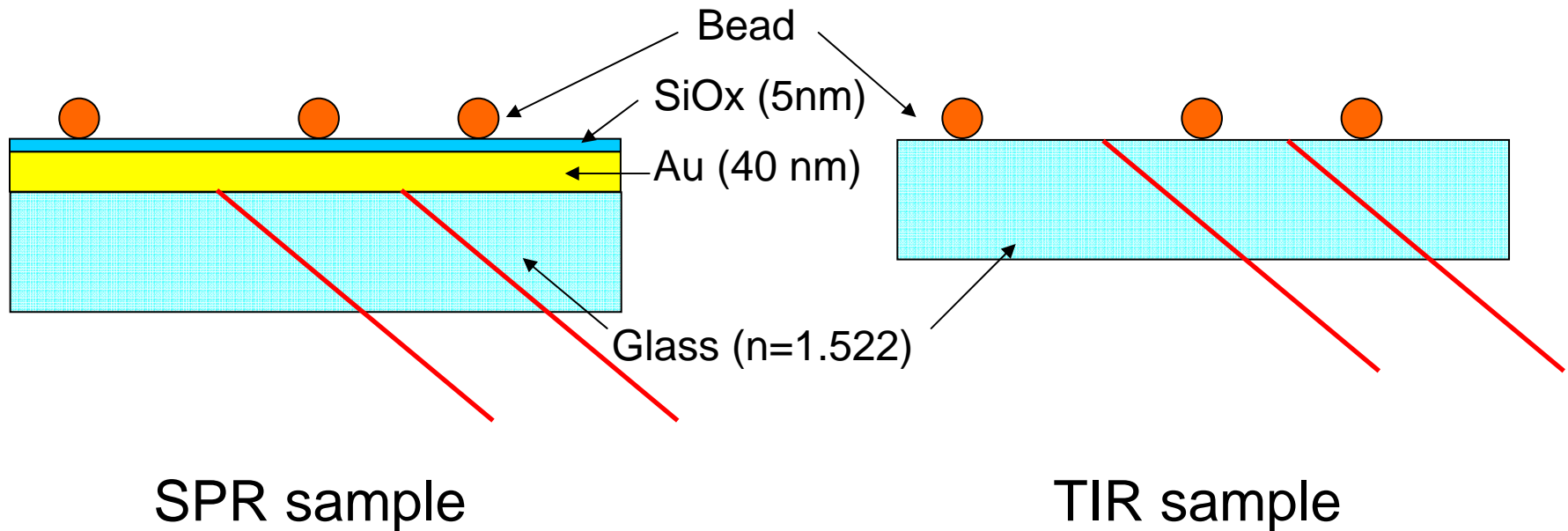
k: wavevector, a: grating period, m: diffraction order,  $\epsilon$ : dielectric constant

(Raether, *Surface Plasmons on Smooth and Rough Surfaces and on Gratings*.  
1988, Berlin: Springer)

# Experimental setup

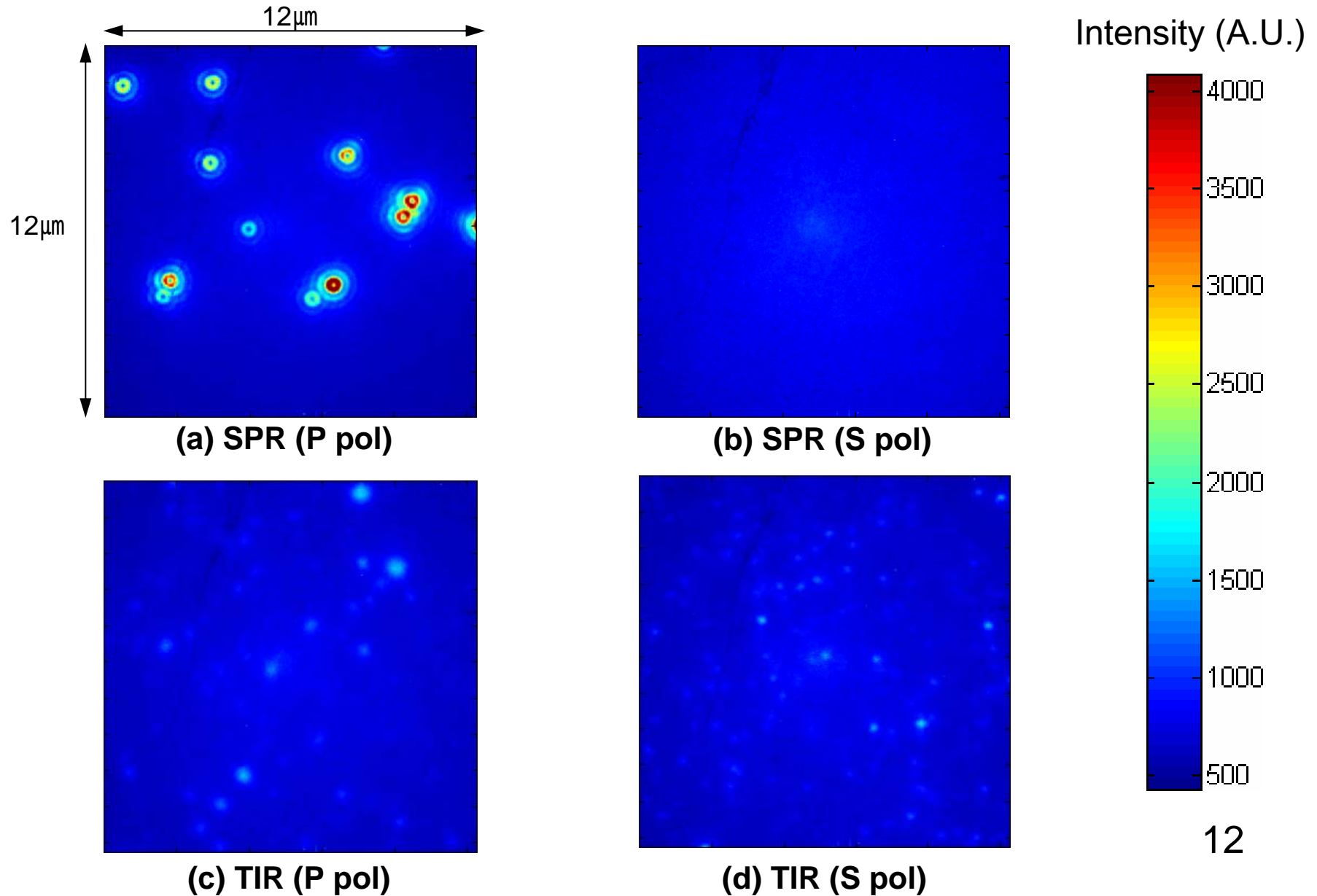


# SPR field enhancement experiment (I)



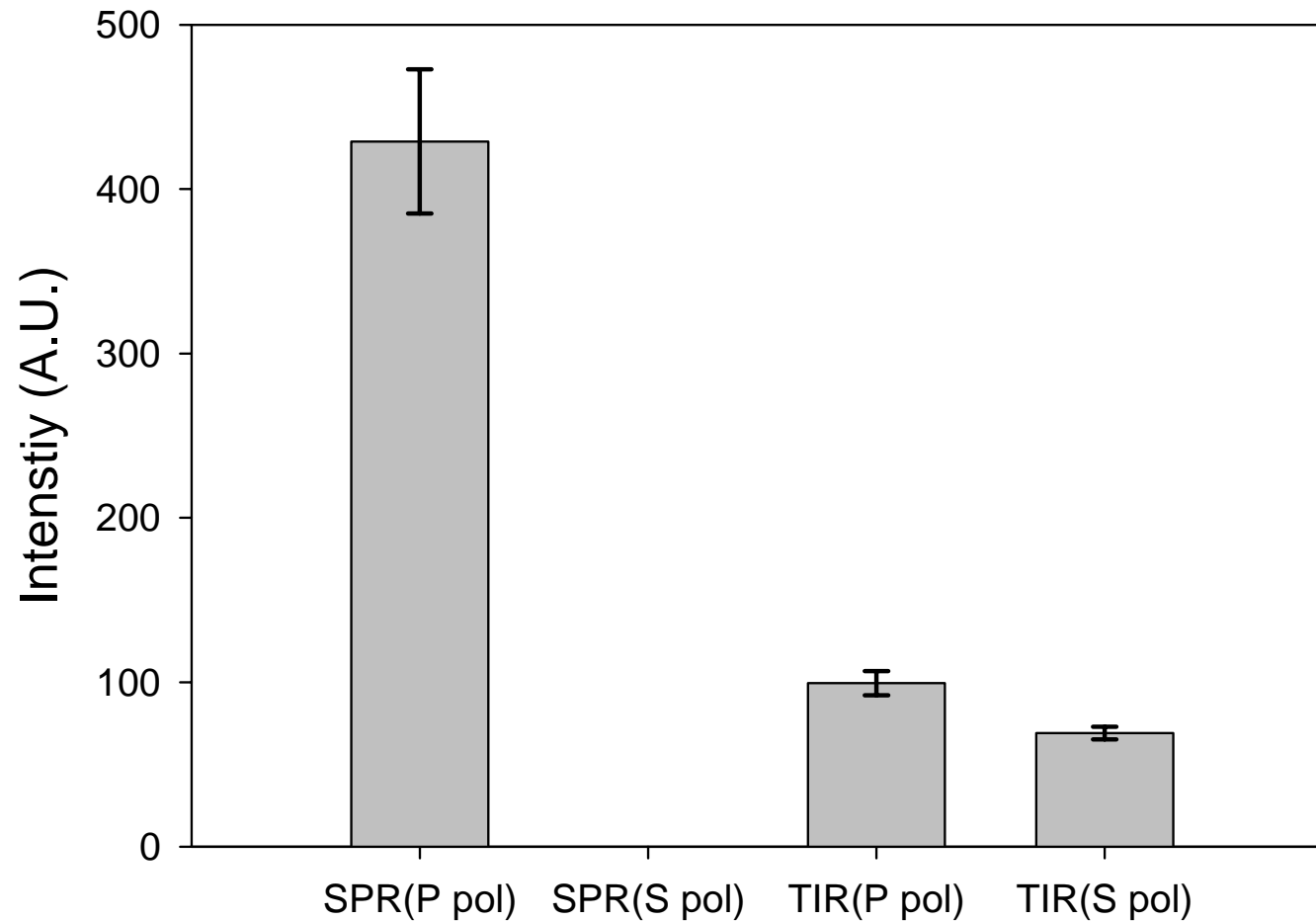
- Fluorescent beads ( $d = 40\text{nm}$ )
- SPR angle ( $\sim 45^\circ$ )
- Incident power (7.8 mW)
- $N = 48$  samples

# SPR field enhancement experiment (II)



# SPR field enhancement experiment (III)

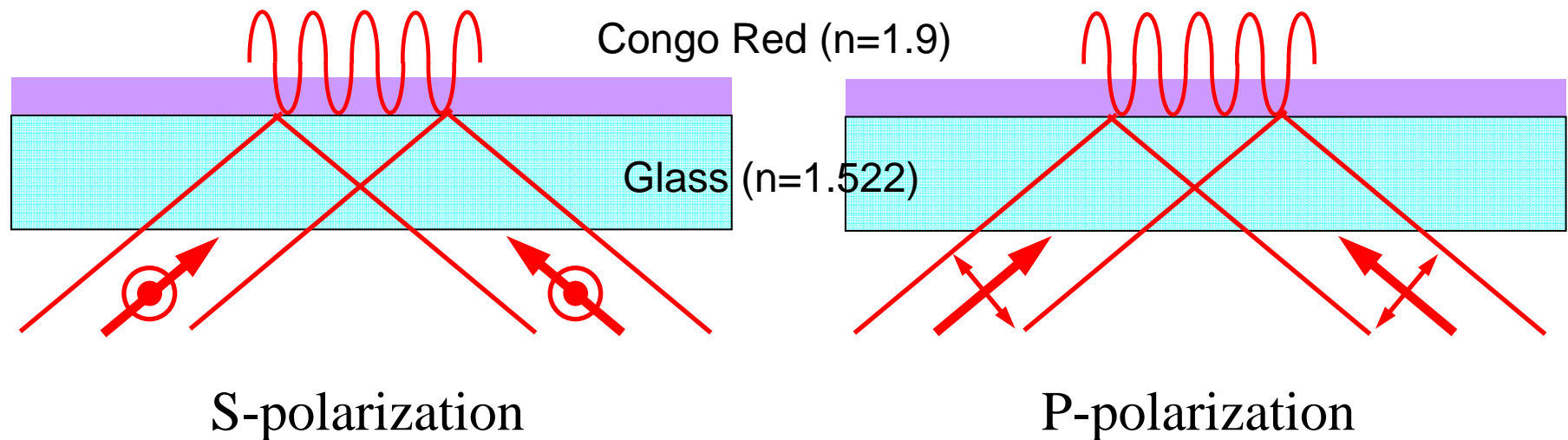
## Intensity from 48 beads



P-value < 0.001 13

# TIR Lithography experiment (I)

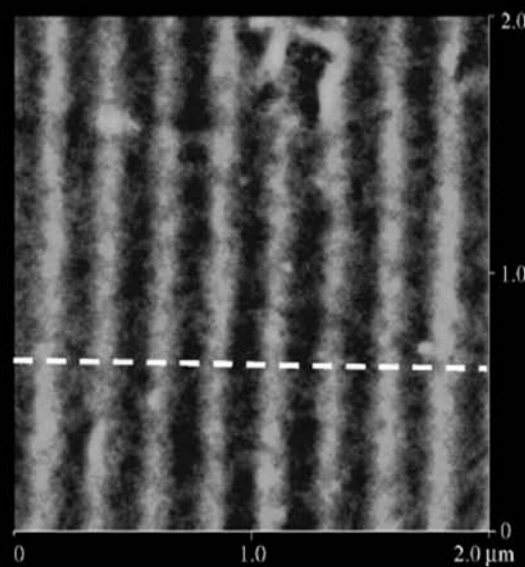
(Ohdaira, Y., et al., Colloids Surf. A, 2006)



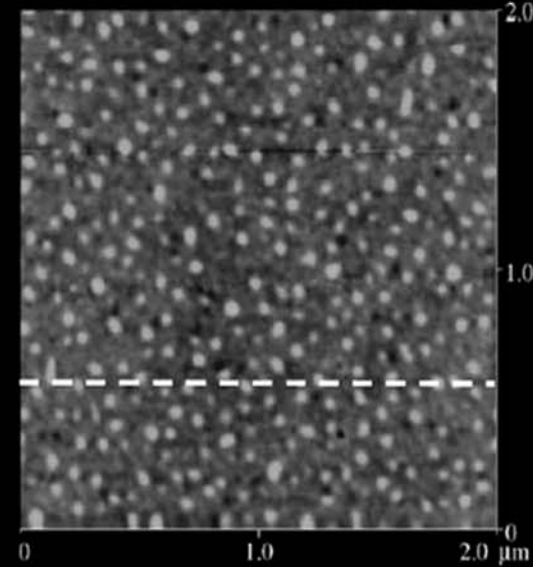
- Spin coating Congo Red solution with deionized water
- Exposure ( $800 \text{ mW/cm}^2$  S-polarization for 30 min)
- Exposure ( $2000 \text{ mW/cm}^2$  P-polarization for 10 min)

# TIR Lithography experiment (II)

(Ohdaira, Y., et al.,  
Colloids Surf. A, 2006)

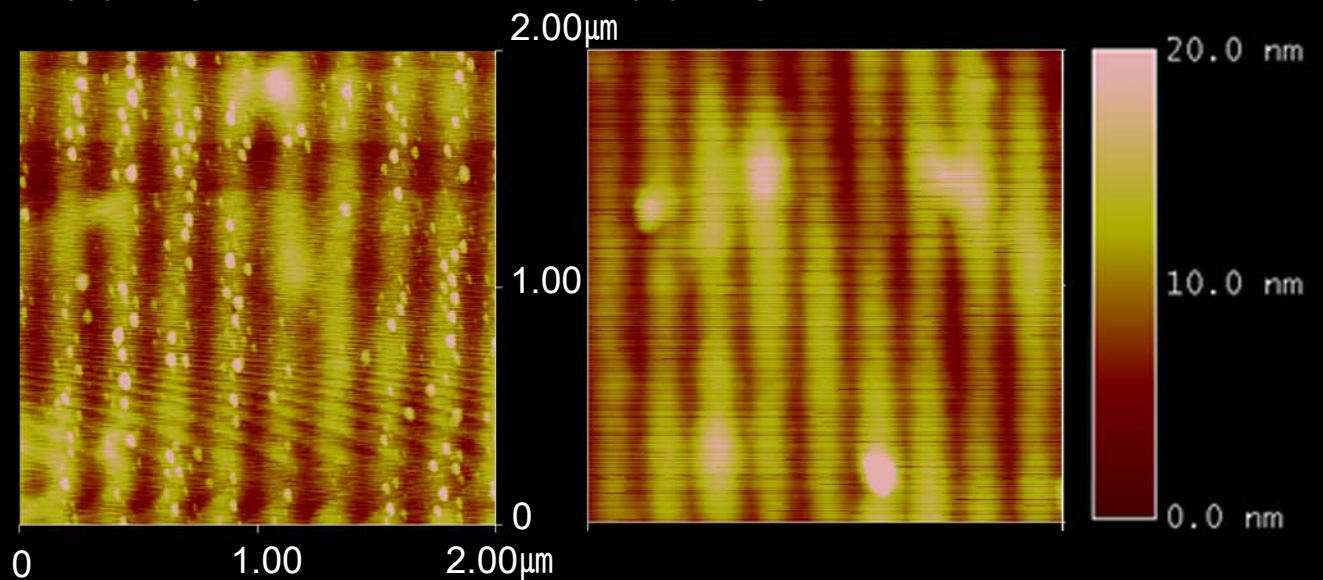


(a) S-polarization



(b) P-polarization

(Kim, Y., et al.,  
In preparation)



# Summary

Interference of two surface plasmon waves for imaging and lithography.

- 1) Strong field enhancement (high S/N ratio)*
- 2) Longer wave vector (higher resolution)*

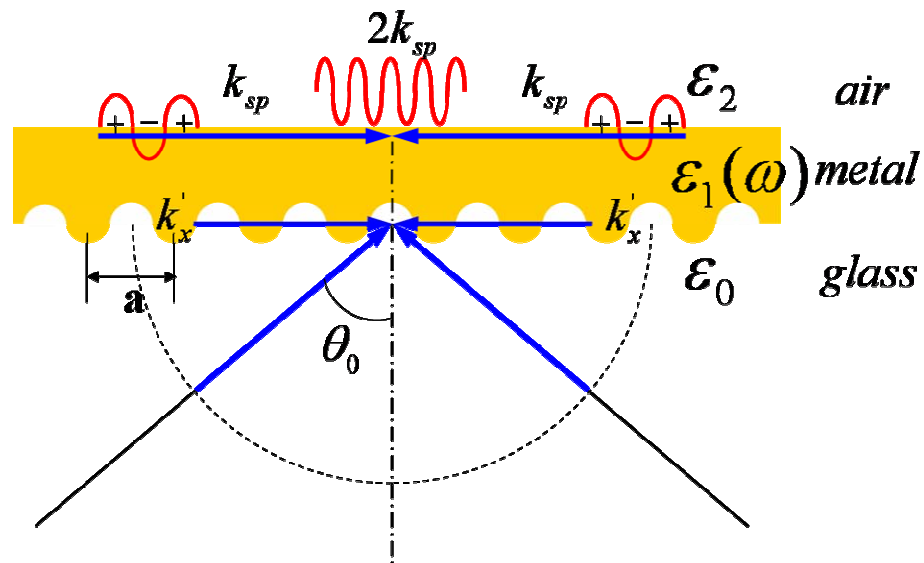
The results:

- 1) SPR strong field enhancement (bead imaging)*
- 2) P-polarization Congo Red lithography is possible.*



# Future Work

- Make a corrugated gold coated glass sample
- The high resolution ( $\sim 50$  nm) imaging and lithography with the sample

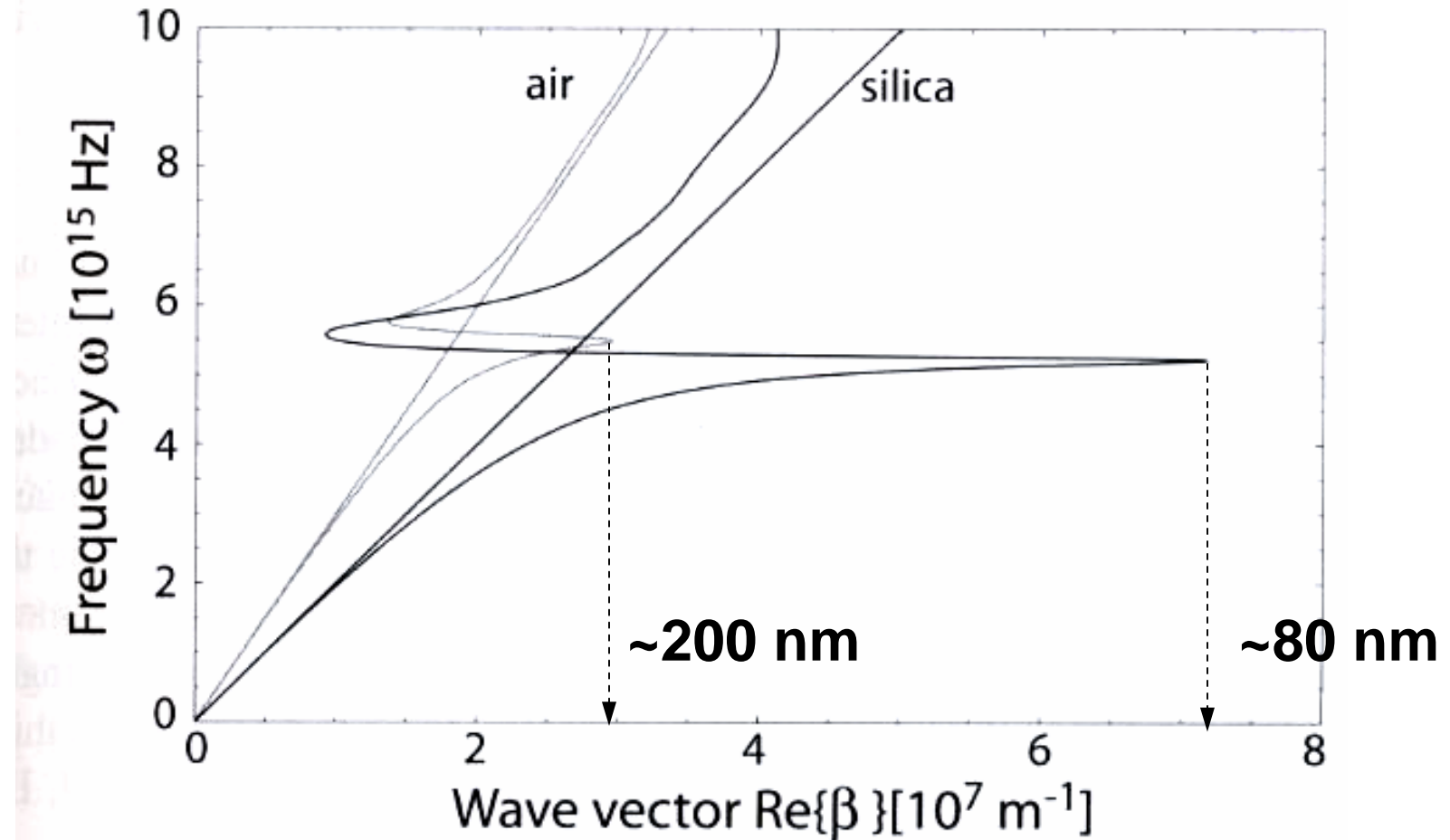


*Thank you !*

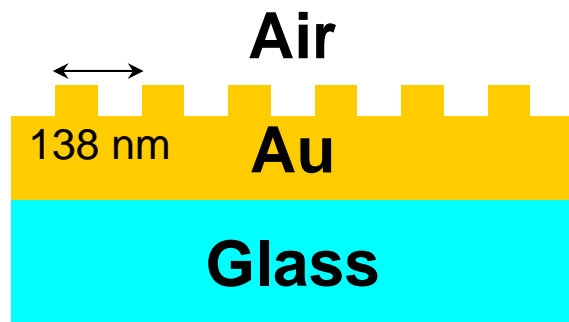
*&*

*Questions ?*

# Future Work (II)

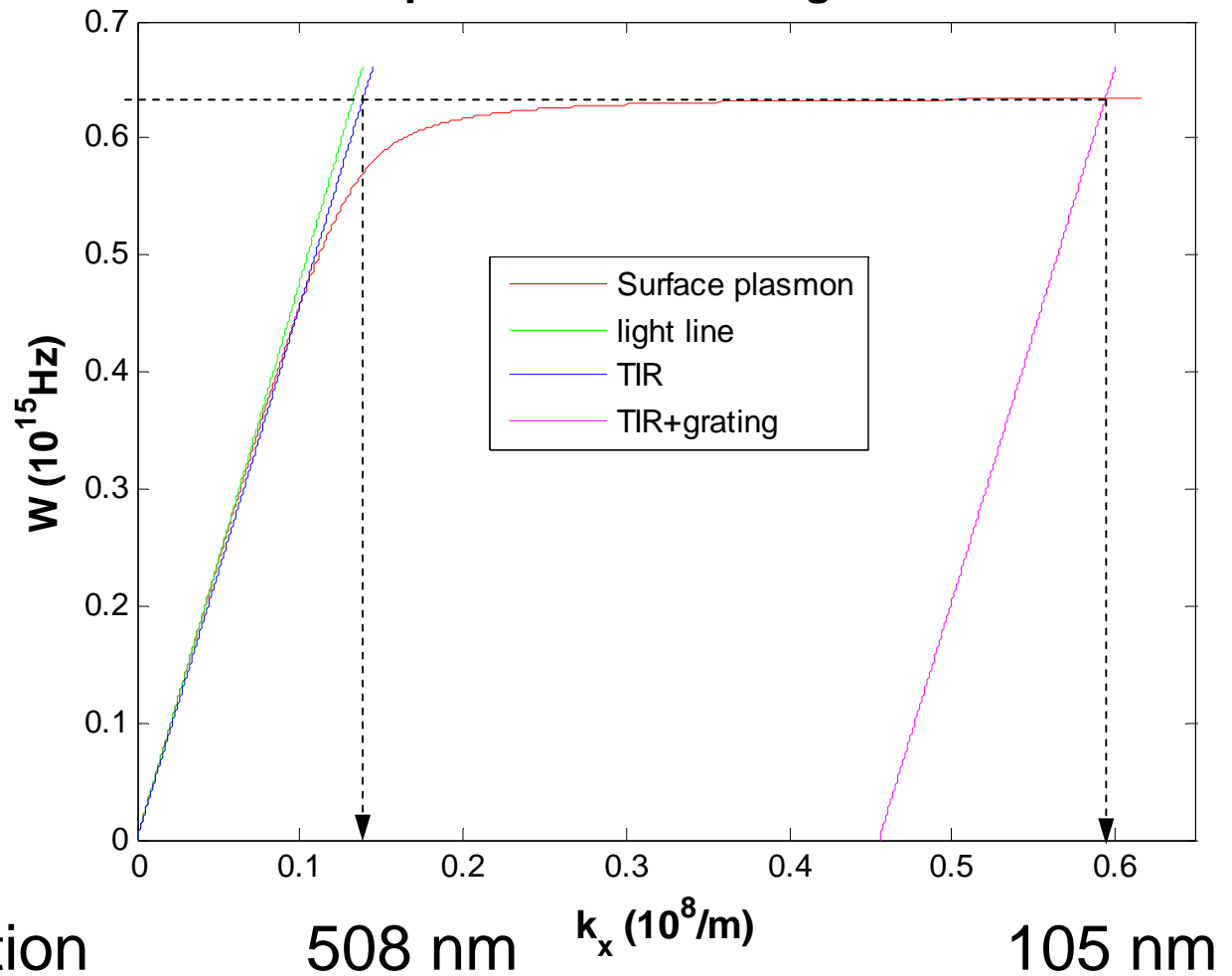


# Future Work (II)

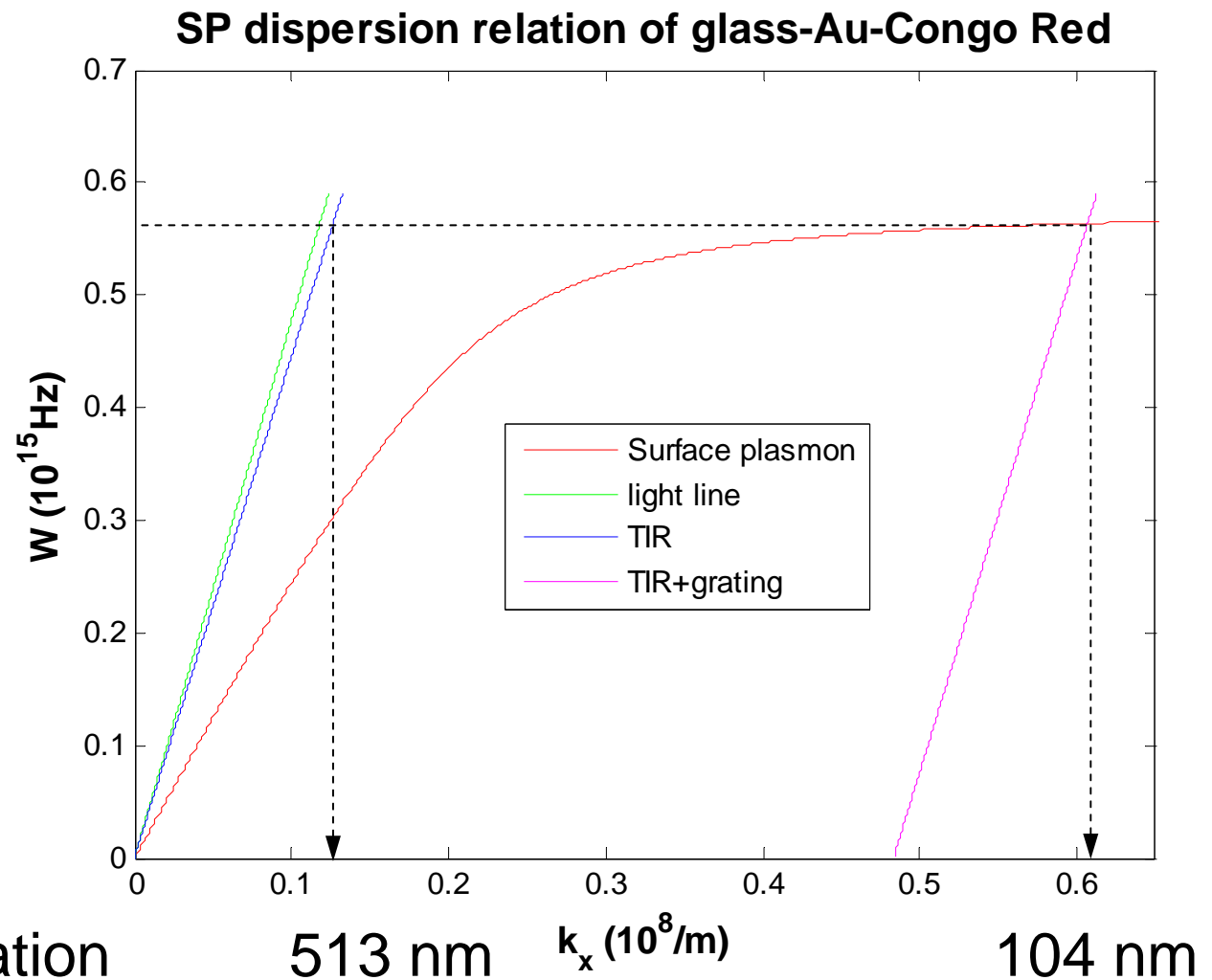
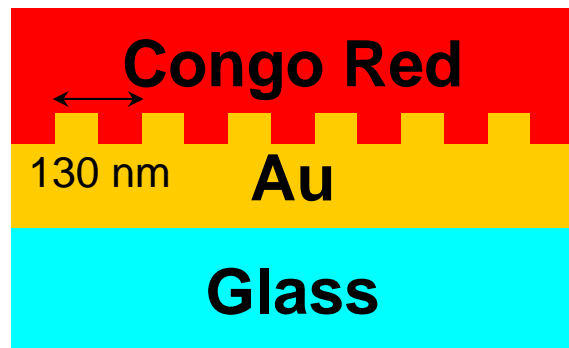


473 nm excitation

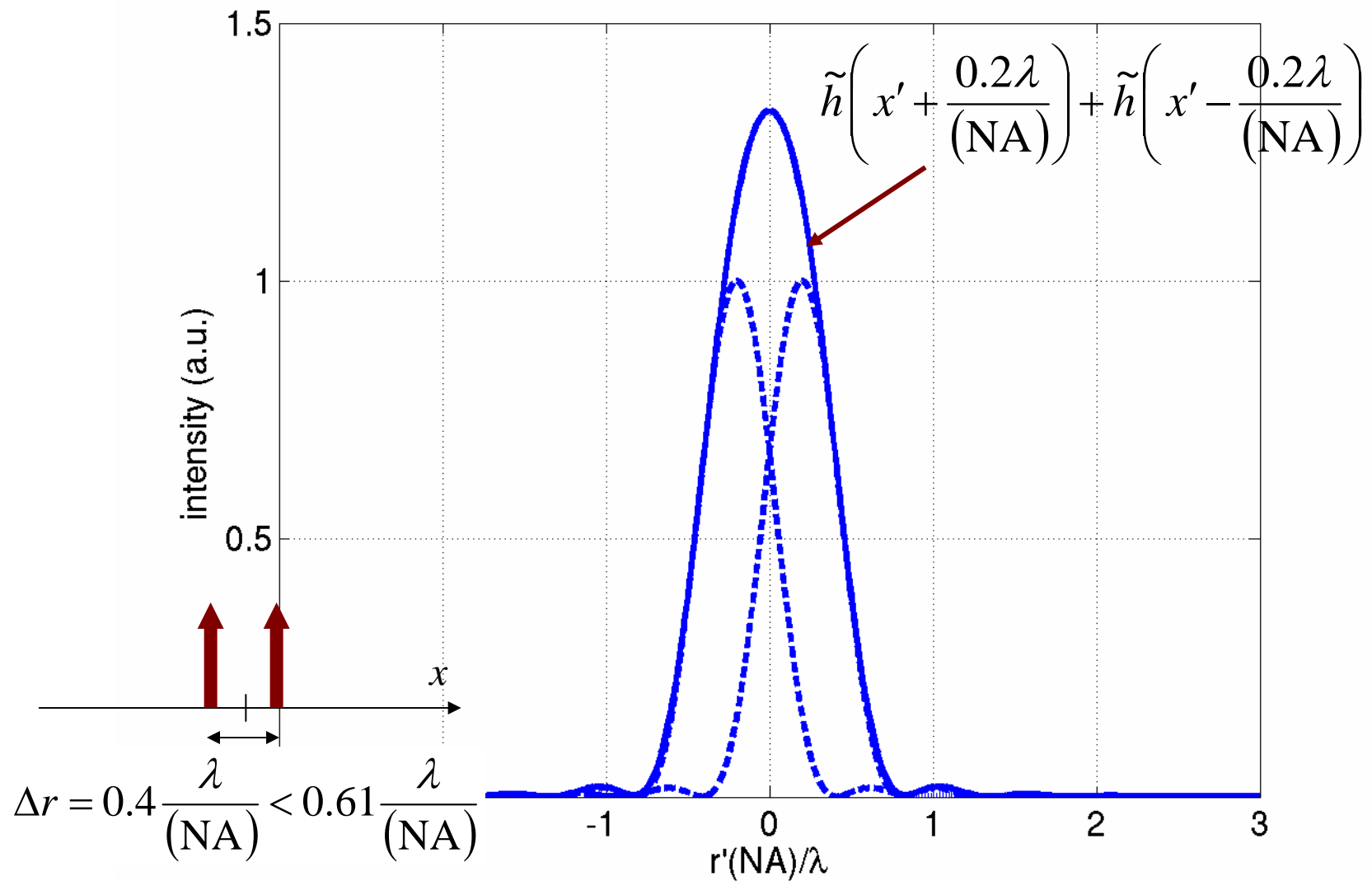
SP dispersion relation of glass-Au-air



# Future Work (II)



# Resolution I



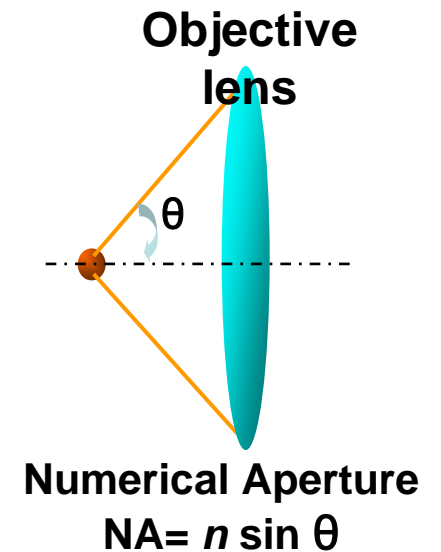
# Resolution II

- Rayleigh resolution criterion (imaging)

$$0.61 \frac{\lambda}{NA} = 0.61 \frac{\lambda}{n \sin \theta}$$

- Optical Projection Lithography resolution

$$\kappa_1 \frac{\lambda}{NA} = \kappa_1 \frac{\lambda}{n \sin \theta}$$

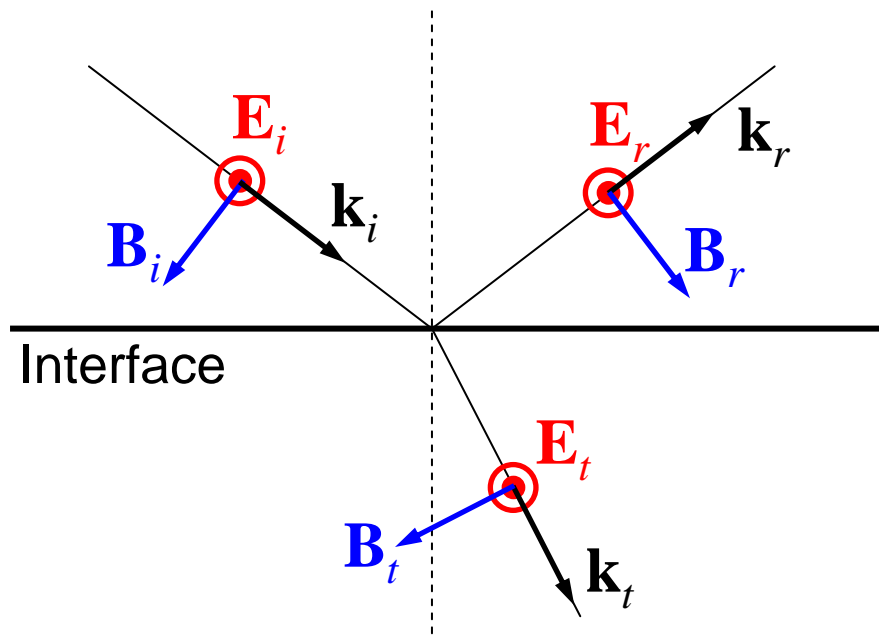
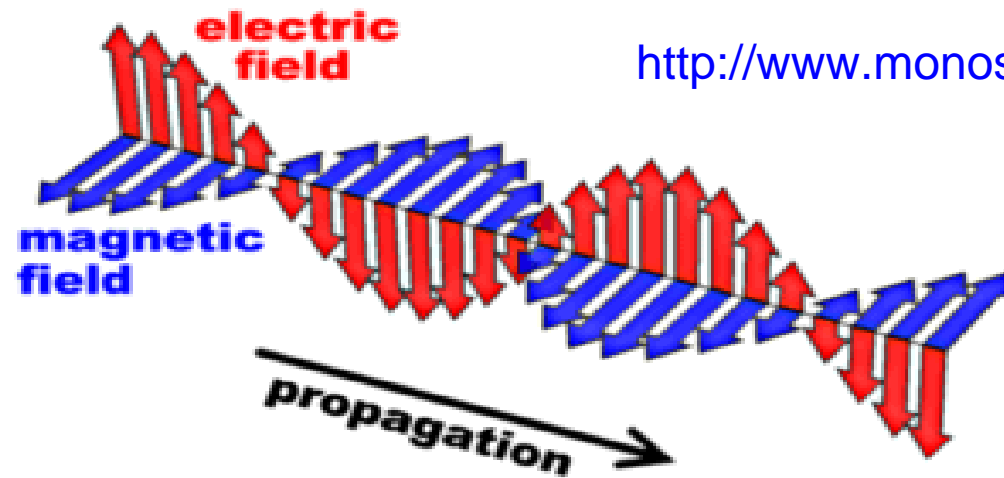


K1: constant for a specific lithographic process

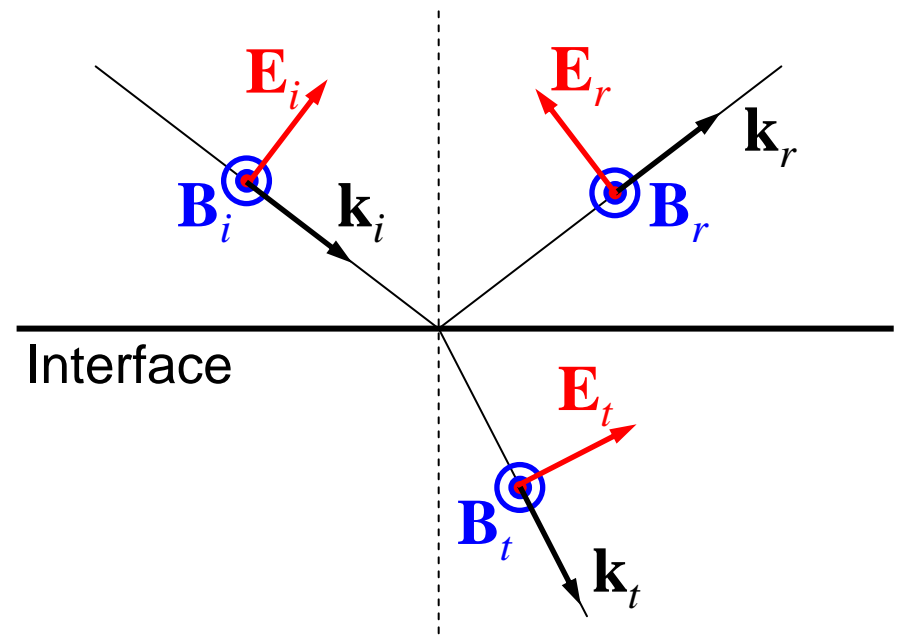
(Chiu et al., IBM Journal of Research and Development, 1997. 41)

# Polarization

<http://www.monos.leidenuniv.nl/smo>



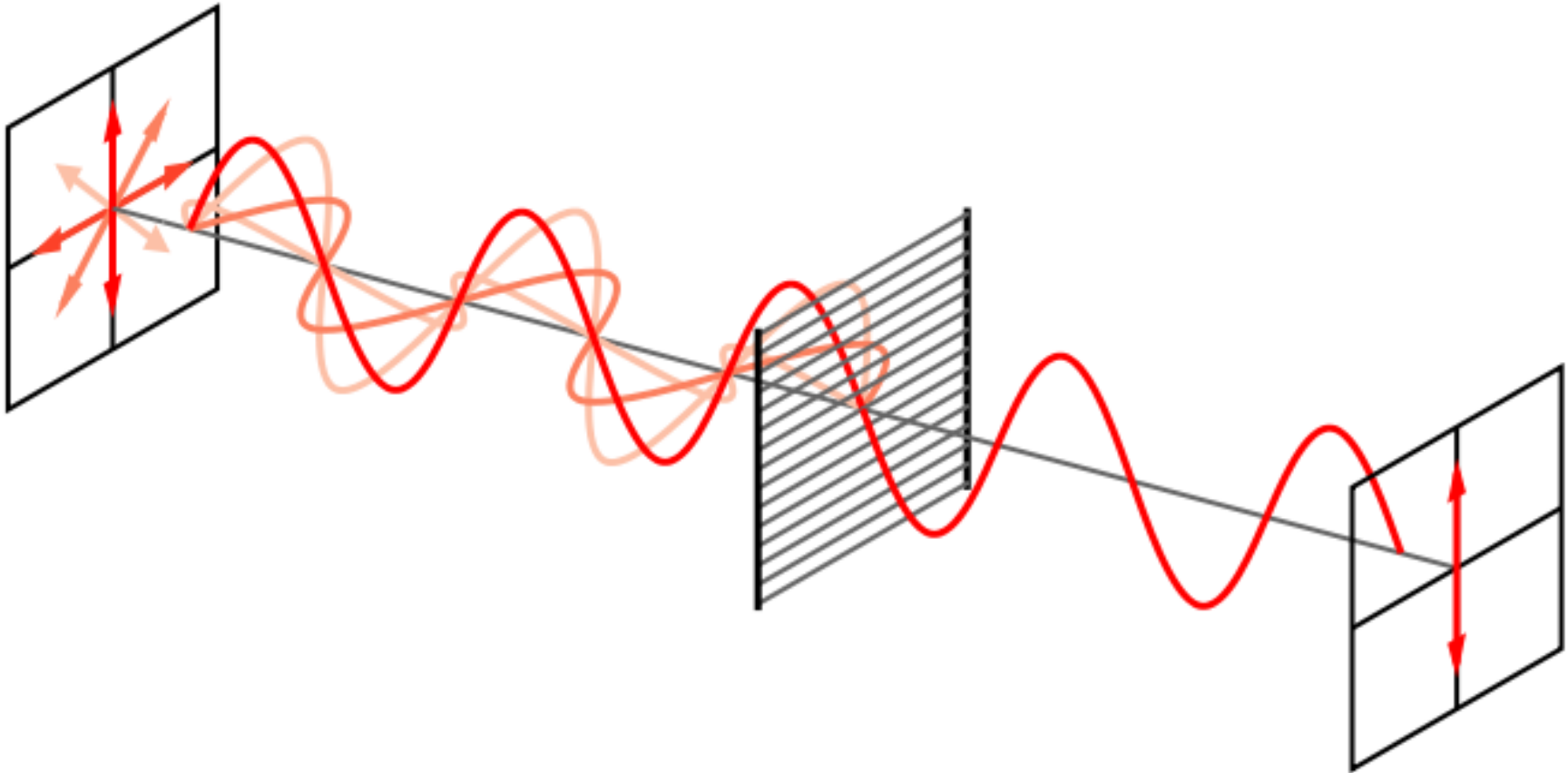
S-polarization



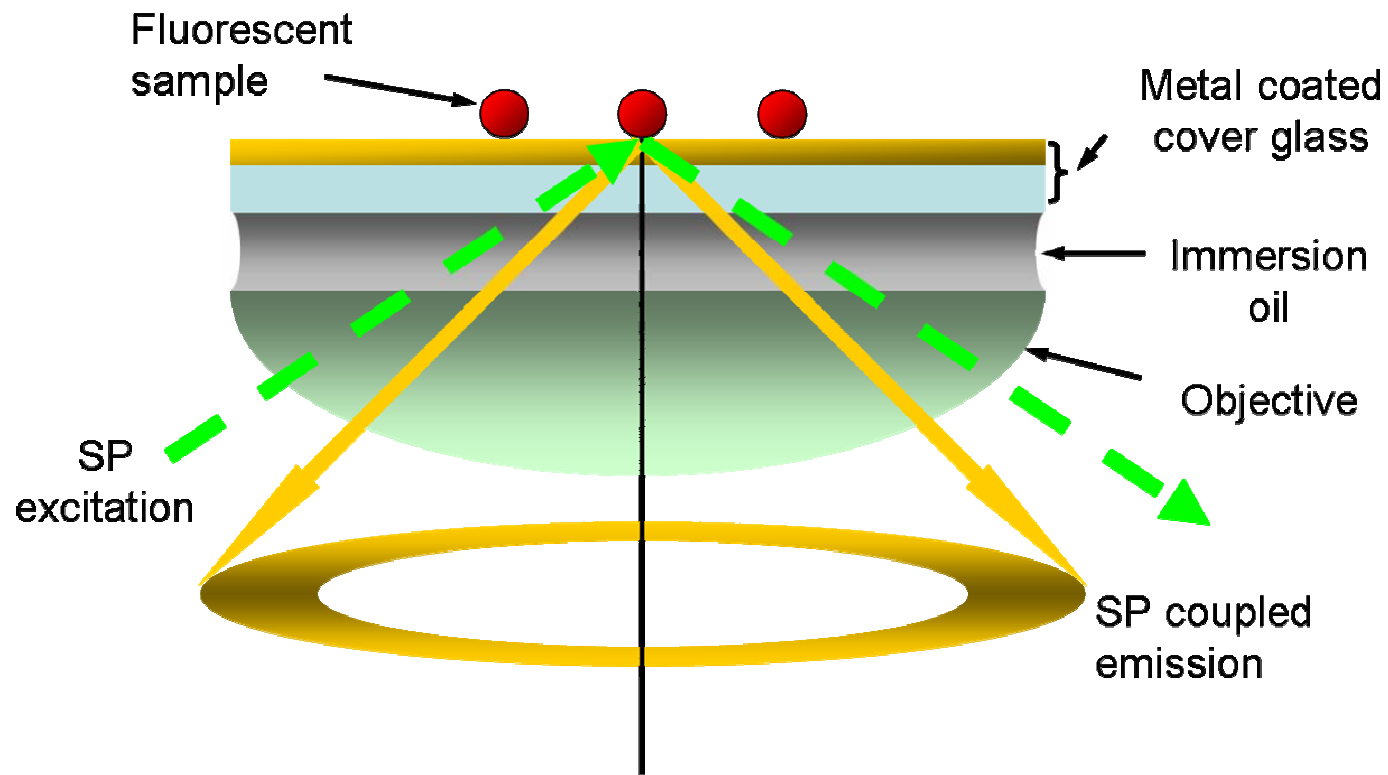
P-polarization



# Linear polarizer

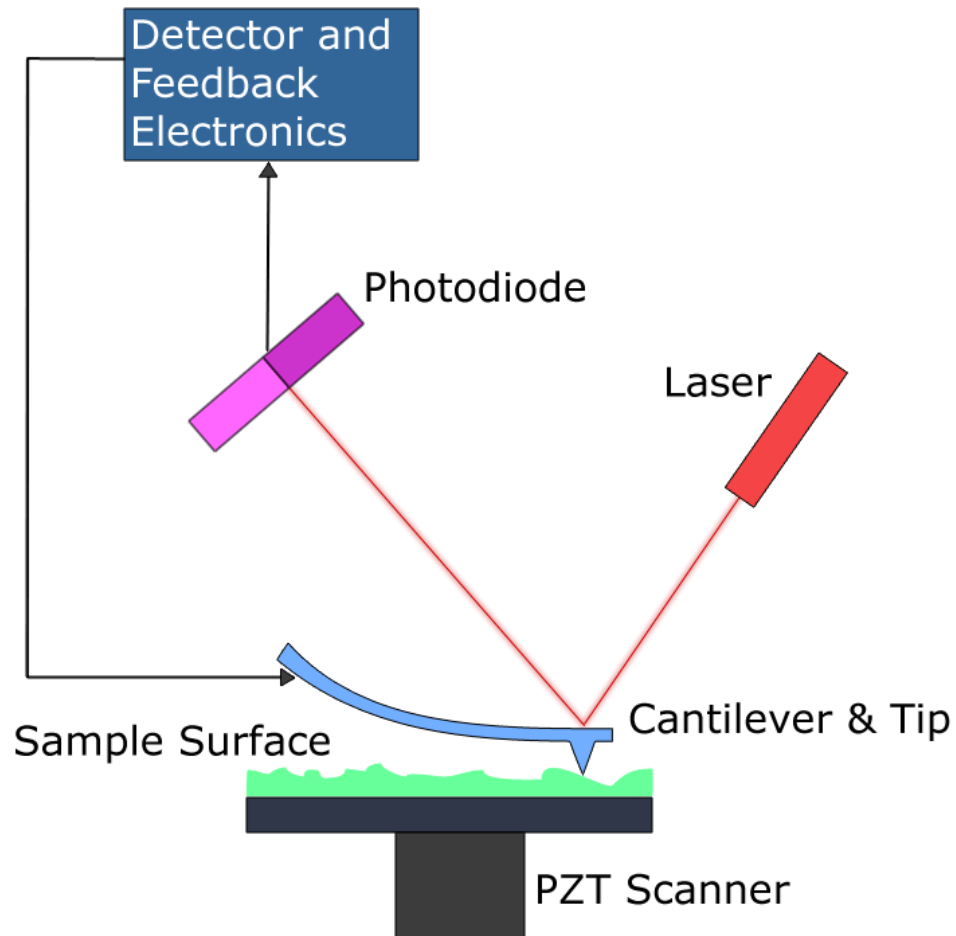


# Surface Plasmon-Coupled Emission



(E. Chung, thesis, MIT, 2007)

# AFM

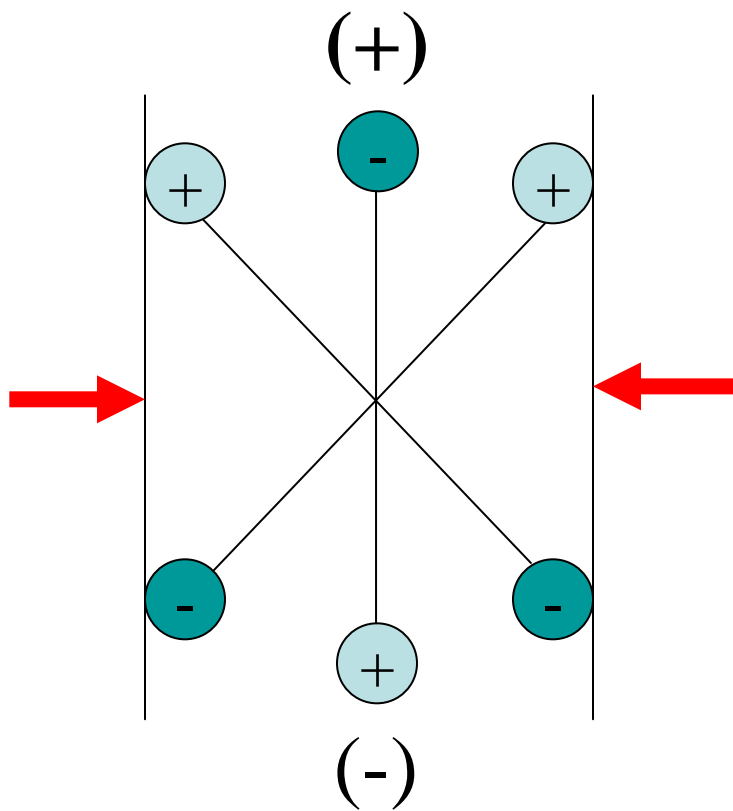


- Deflection of the cantilever is measured.
- Feedback mechanism prevent the collision of the tip and the surface.

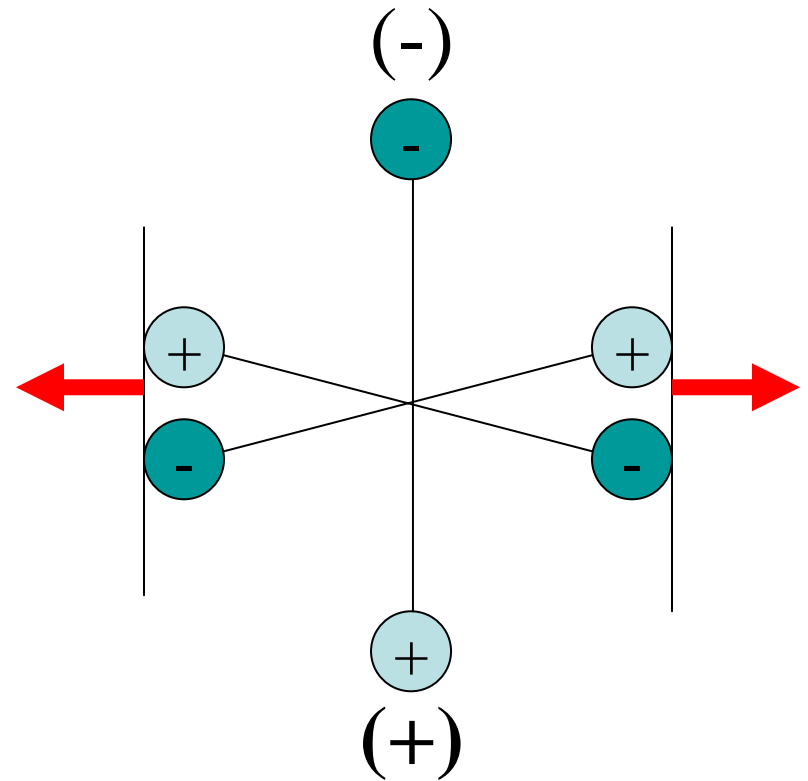
Humphris at al., A mechanical microscope:  
High-speed atomic force microscopy,  
Applied Physics Letters 86, 034106 (2005).

# Piezoelectric effect

The unit cell of crystal silicon dioxide deformation



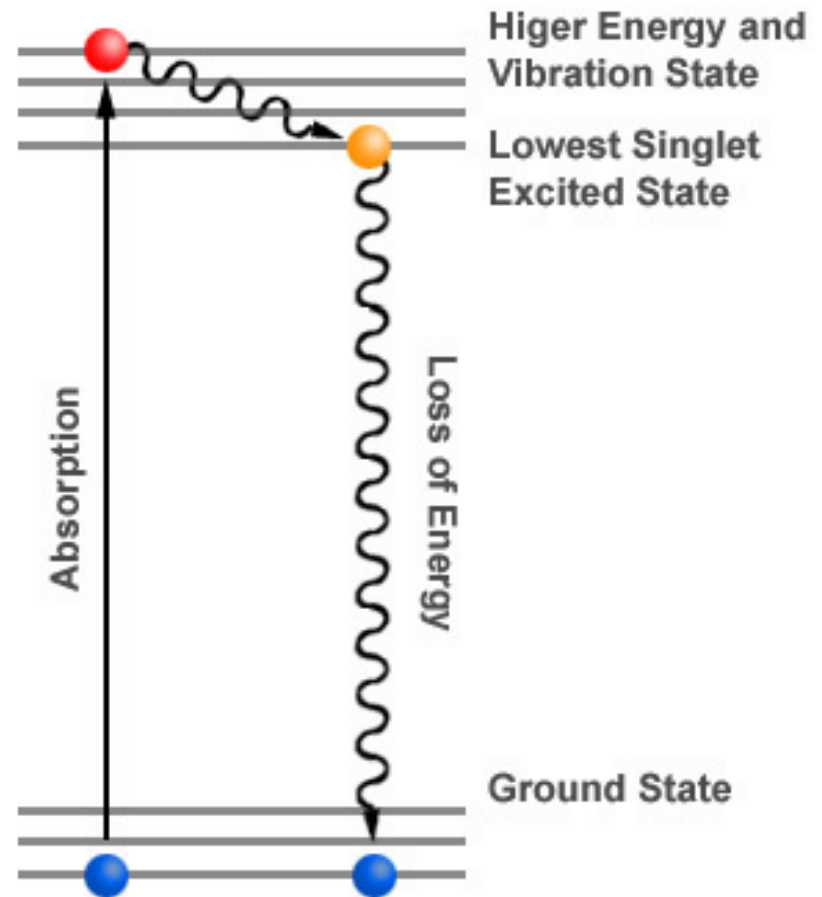
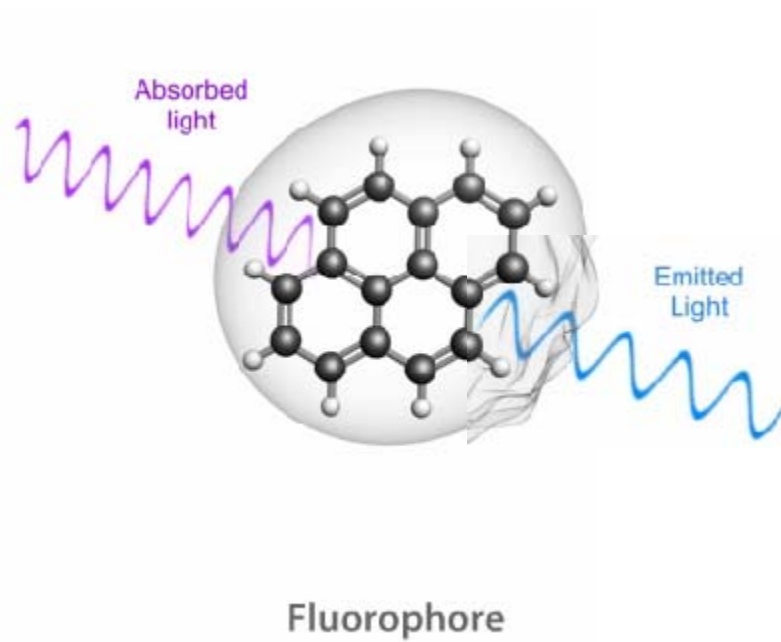
A pushing force:  
(aka: compression)



A pulling force:  
(aka: tension)

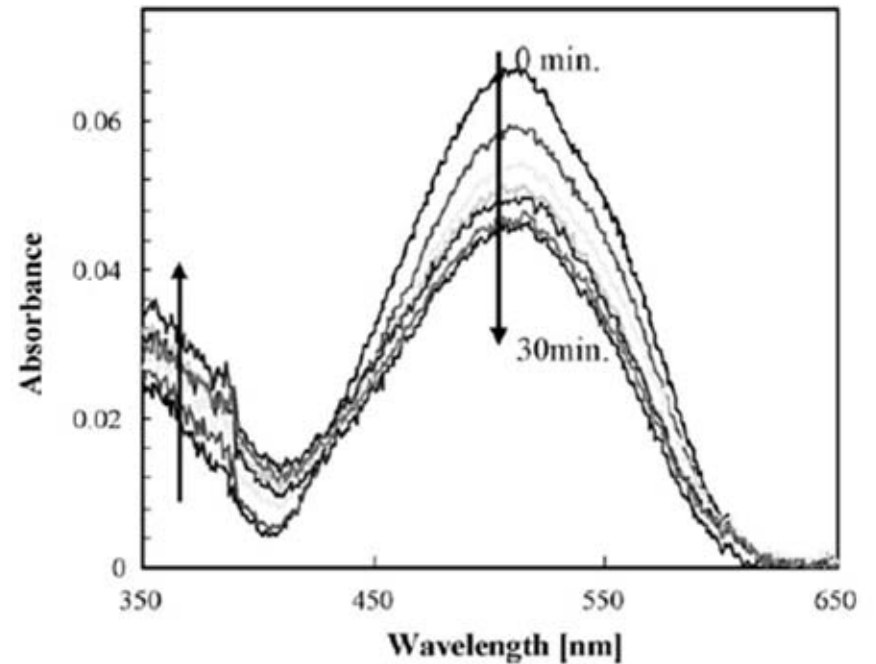
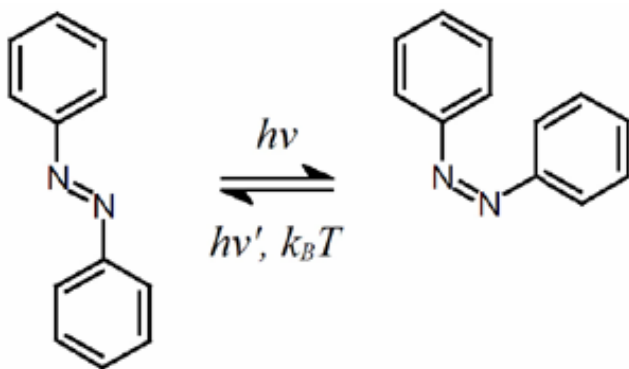
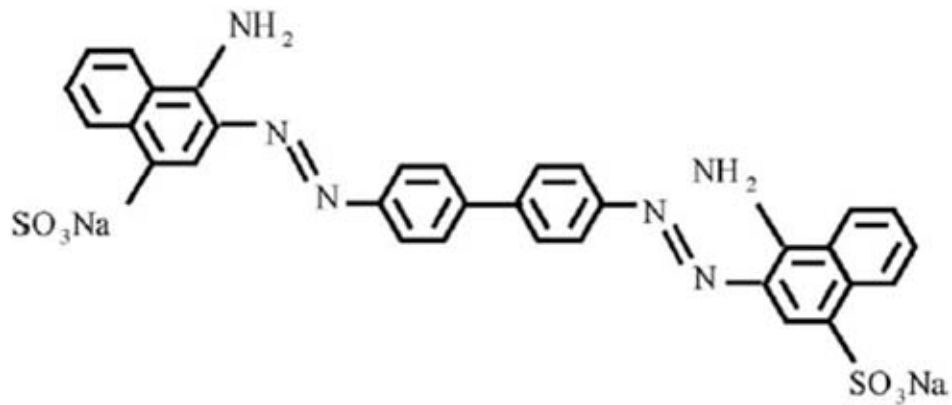
(<http://www.ndt-ed.org/>)

# Fluorescence



(<http://www.probes.com/>)

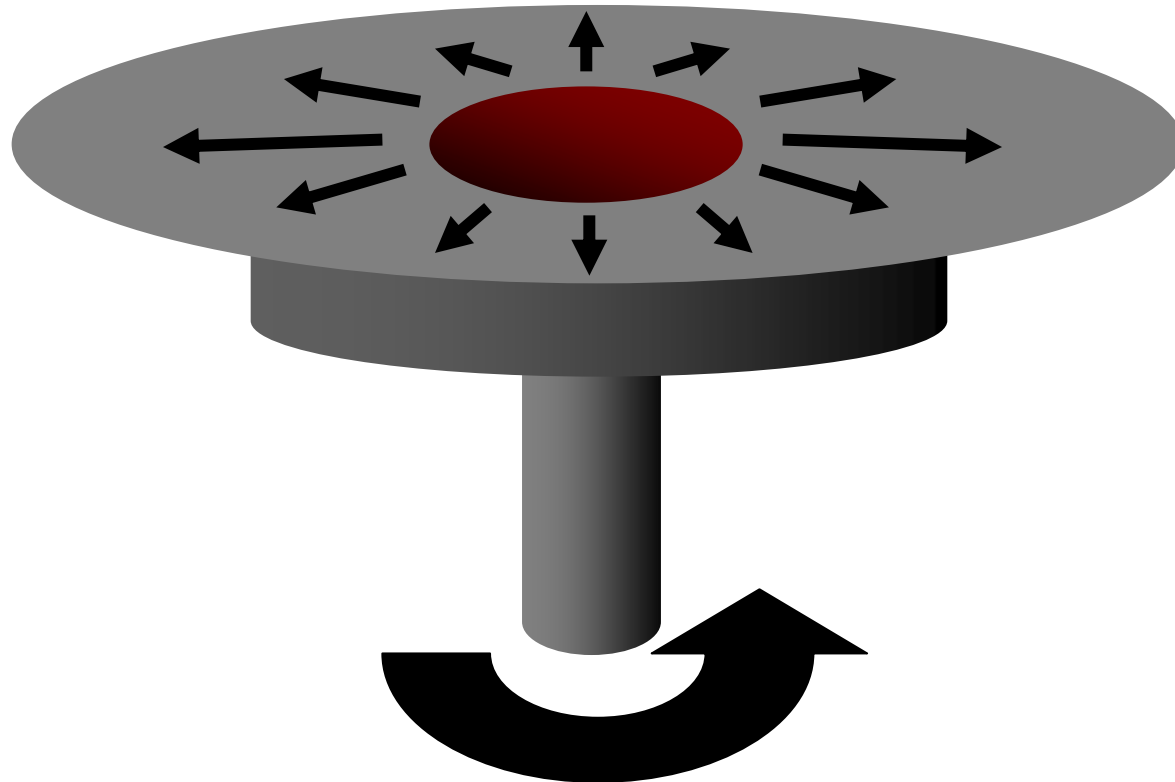
# Congo Red



(Ohdaira, Y., et al., Colloids Surf. A, 2006)  
(<http://en.wikipedia.org/wiki/Azobenzene>)

# Spin coating

Centrifugal force spread a fluid resin.

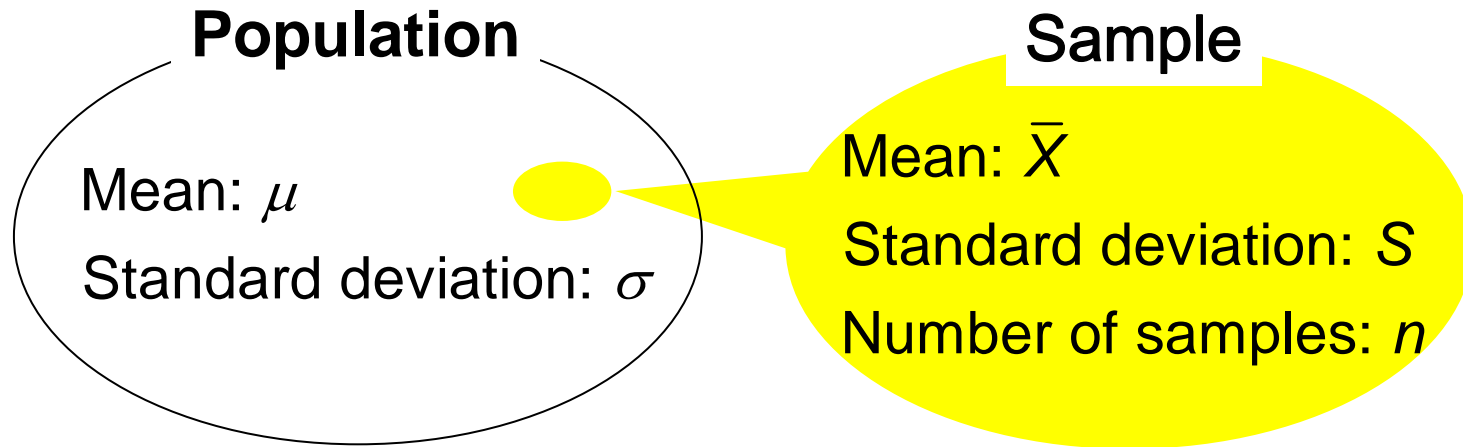


# CCD & CMOS

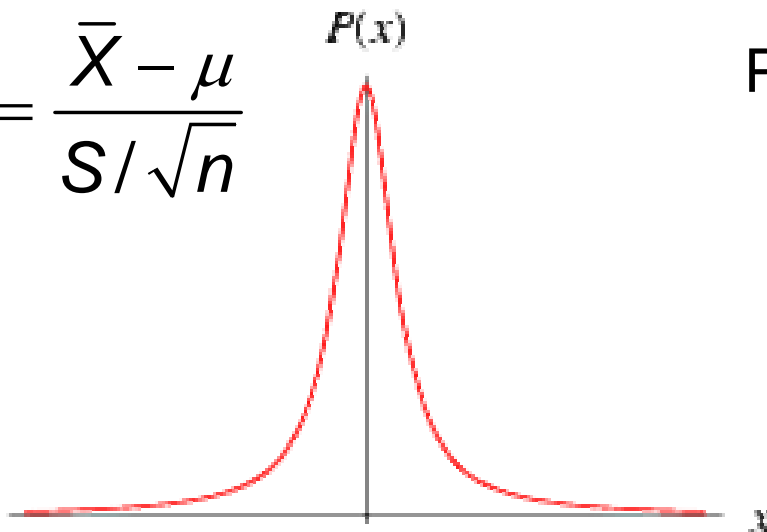
CCD	CMOS
Uniform image quality	Cost less
High fill factor	Consume less power
Low noise	Many function
Simple	Integration on one chip



# t-test



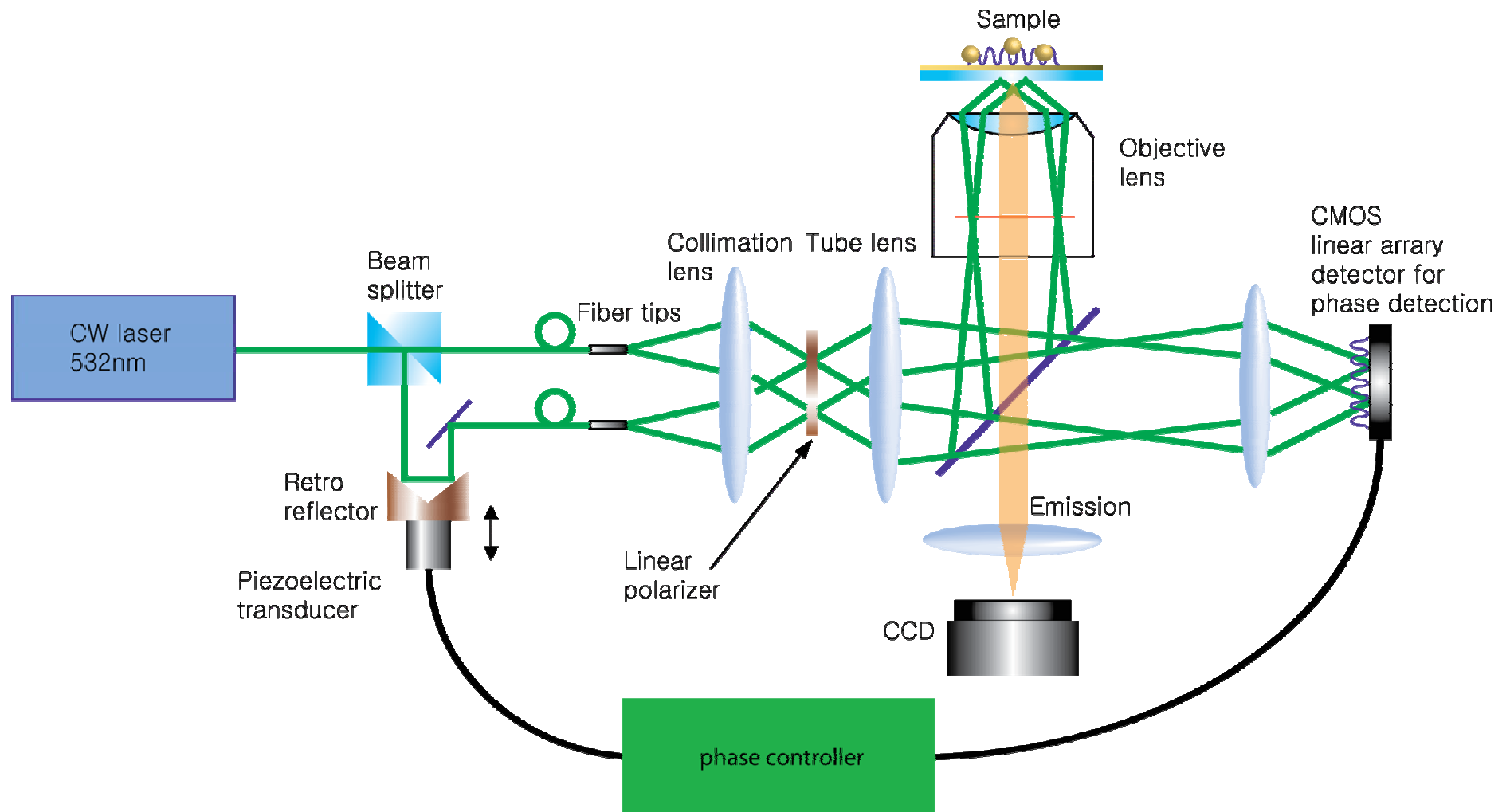
$$T = \frac{\bar{X} - \mu}{S / \sqrt{n}}$$



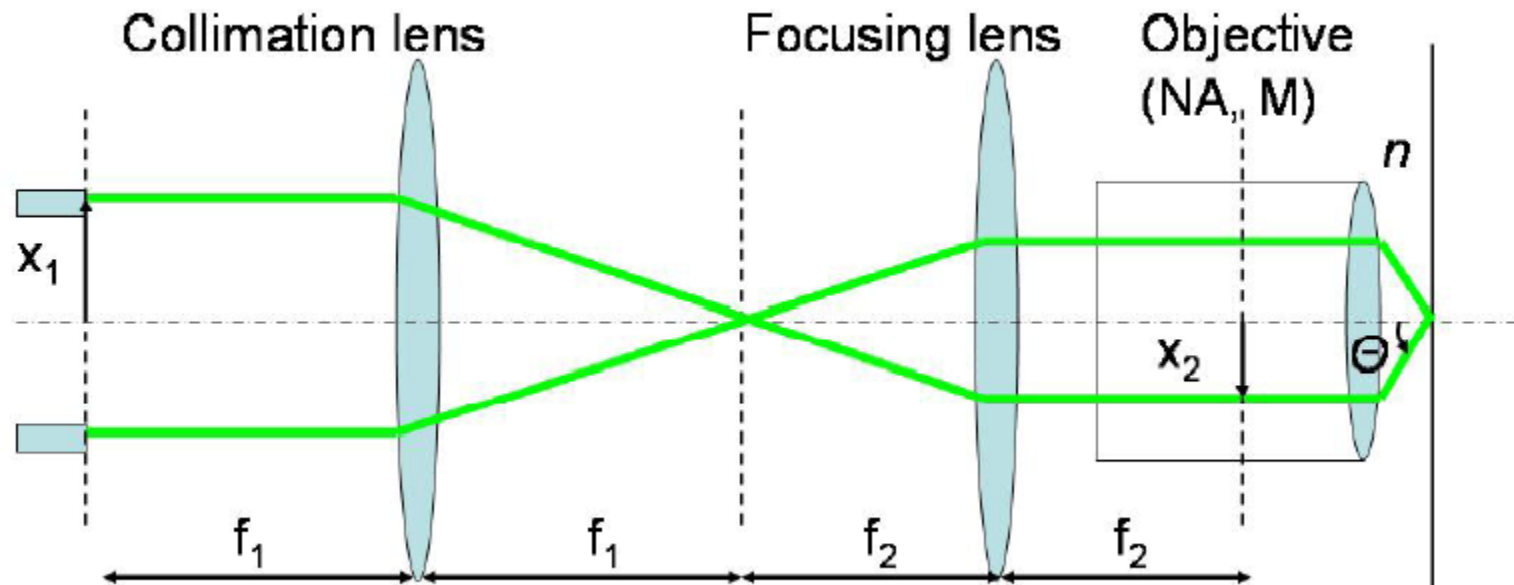
P value : the provability that  $\bar{X} \neq \mu$   
when random sampling

small P value : we can trust  $\bar{X}$  as  $\mu$   
with higher probability.

# Original experimental setup



# Fringe spacing

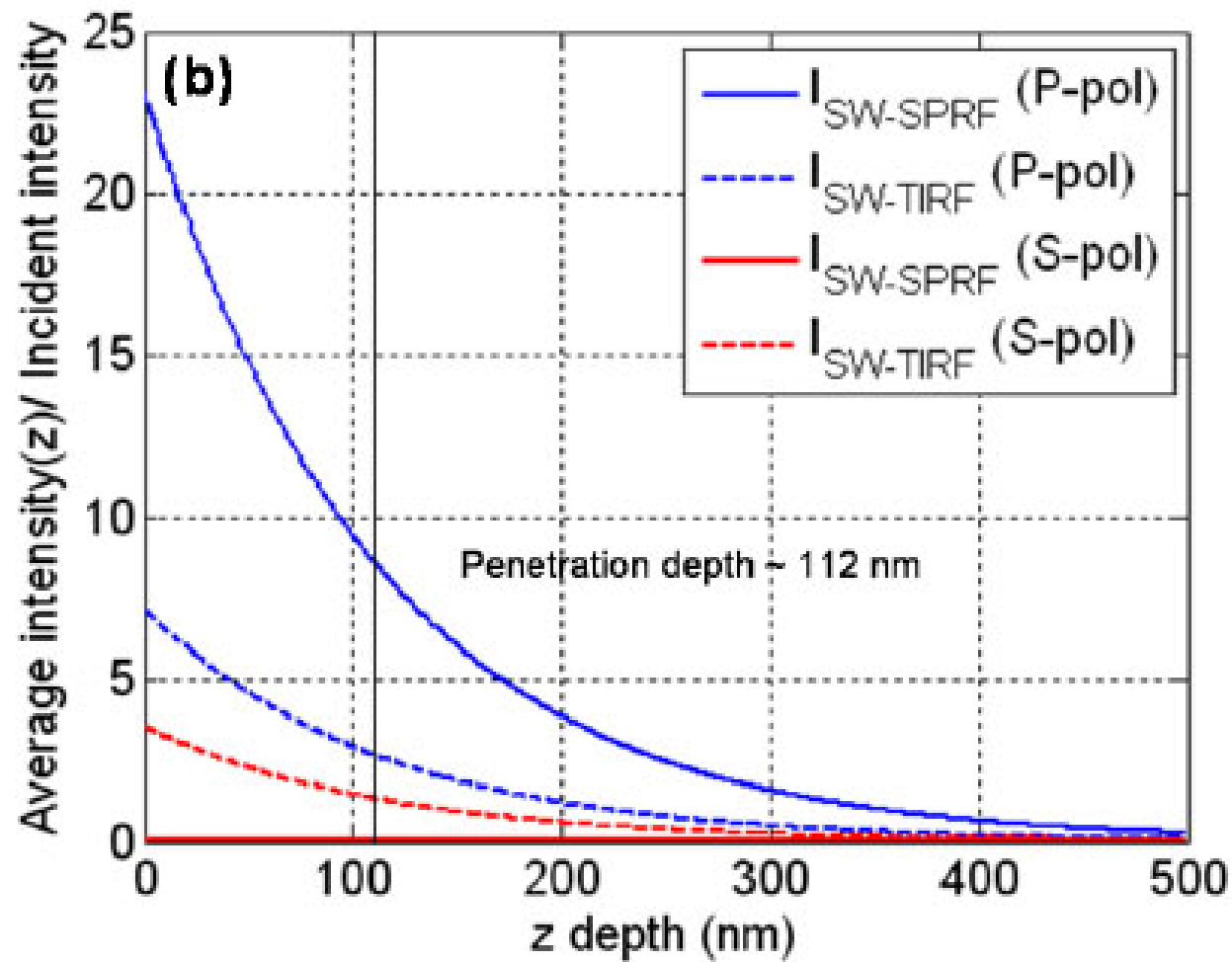


$$x_2 = (f_{TB} / M) n \sin \theta$$

$$p = \frac{\lambda}{2n} \sin \theta$$

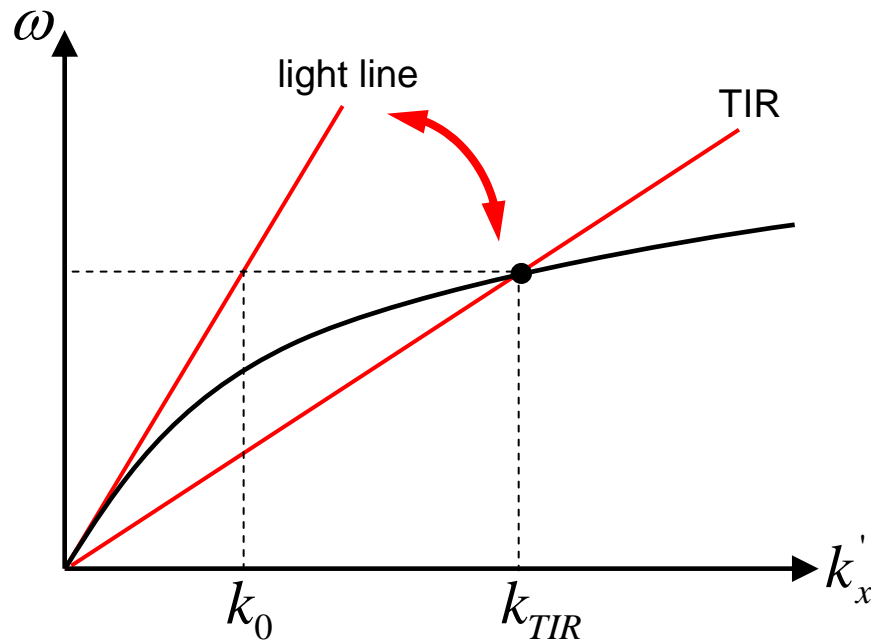
$$p(x_1) = \frac{1}{2} \left( \frac{f_{TB}}{M} \right) \left( \frac{f_1}{f_2} \right) \frac{\lambda}{x_1}$$

# SPR field enhancement experiment (IV)

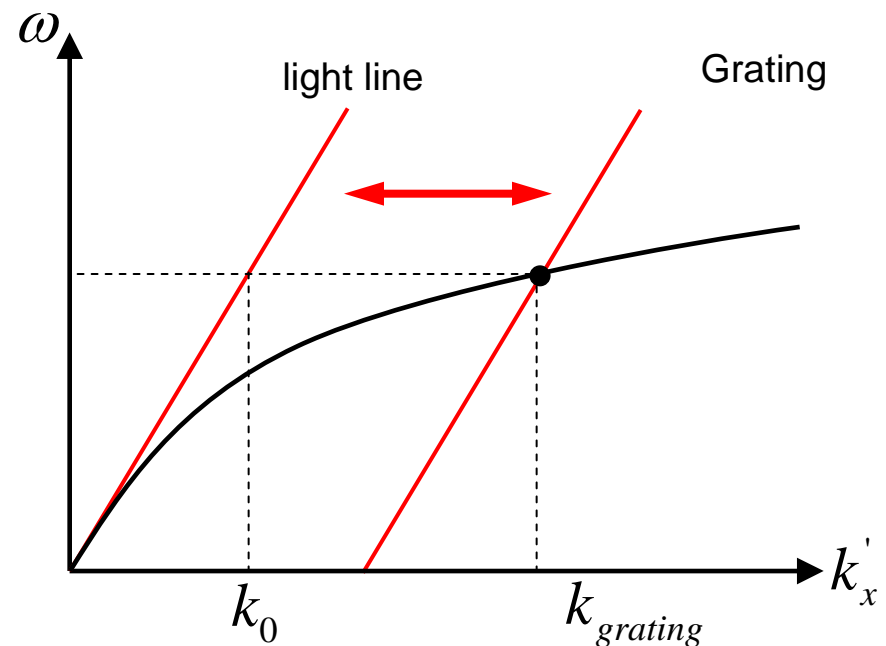


(E. Chung, thesis, MIT, 2007)

# Surface plasmon and photon coupling (I)



$$\begin{cases} k_{\text{surface plasmon}} = \frac{\omega}{c} \left( \frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2} \\ (k_{\text{photon}})_x = \sqrt{\epsilon_0} \frac{\omega}{c} \sin \theta_0 \end{cases}$$

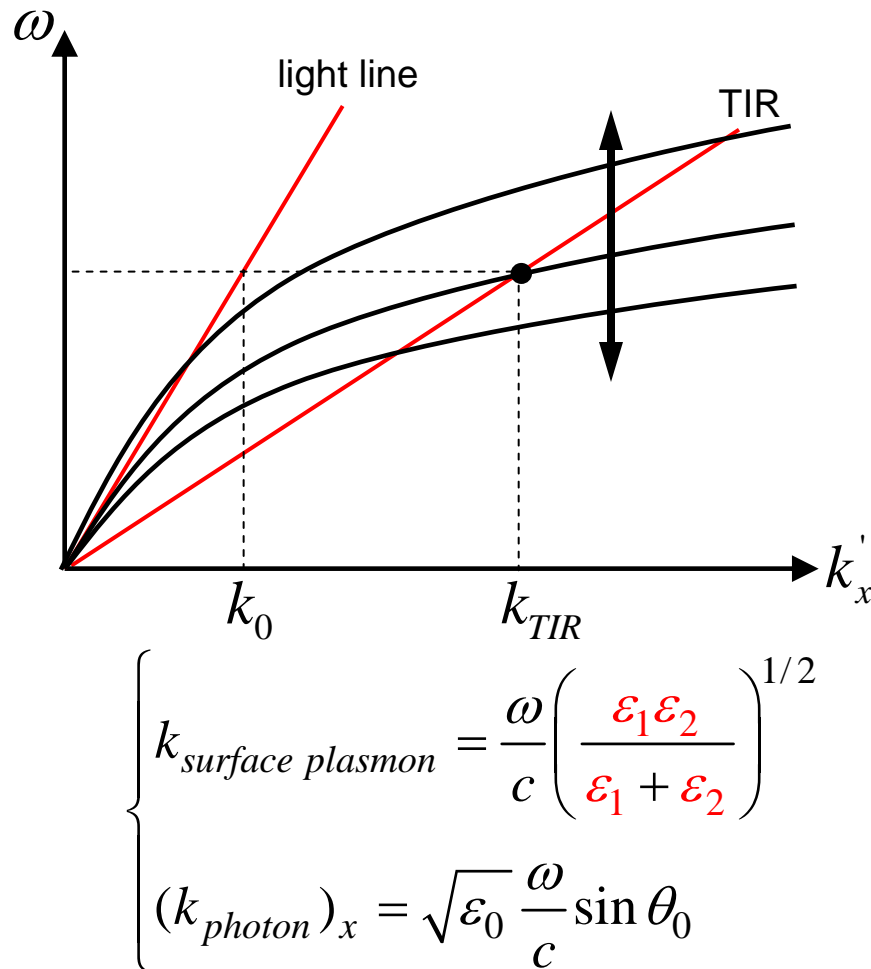


$$\begin{cases} k_{\text{surface plasmon}} = \frac{\omega}{c} \left( \frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2} \\ (k_{\text{photon}})_x = \sqrt{\epsilon_0} \frac{\omega}{c} \sin \theta_0 + mg \end{cases}$$

k: wavevector, a: grating period, m: diffraction order,  $\epsilon$ : dielectric constant

(Raether, *Surface Plasmons on Smooth and Rough Surfaces and on Gratings*.  
1988, Berlin: Springer)

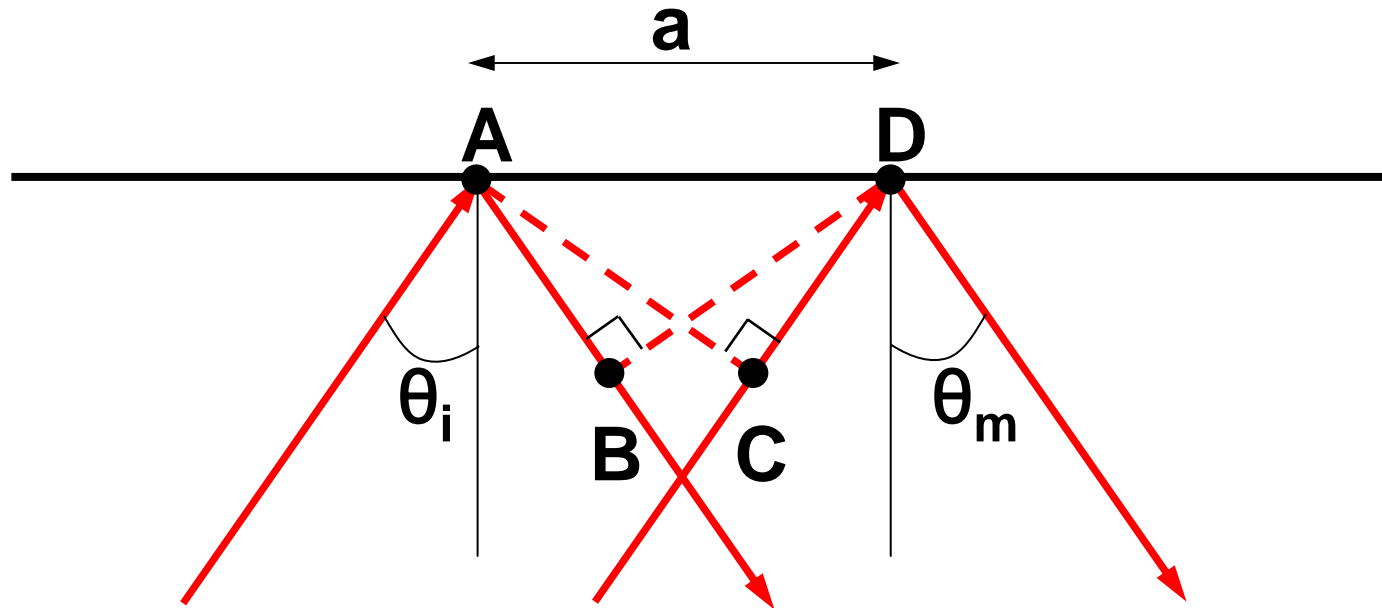
# Surface plasmon and photon coupling (II)



k: wavevector, a: grating period, m: diffraction order,  $\epsilon$ : dielectric constant

(Raether, *Surface Plasmons on Smooth and Rough Surfaces and on Gratings*.  
1988, Berlin: Springer)

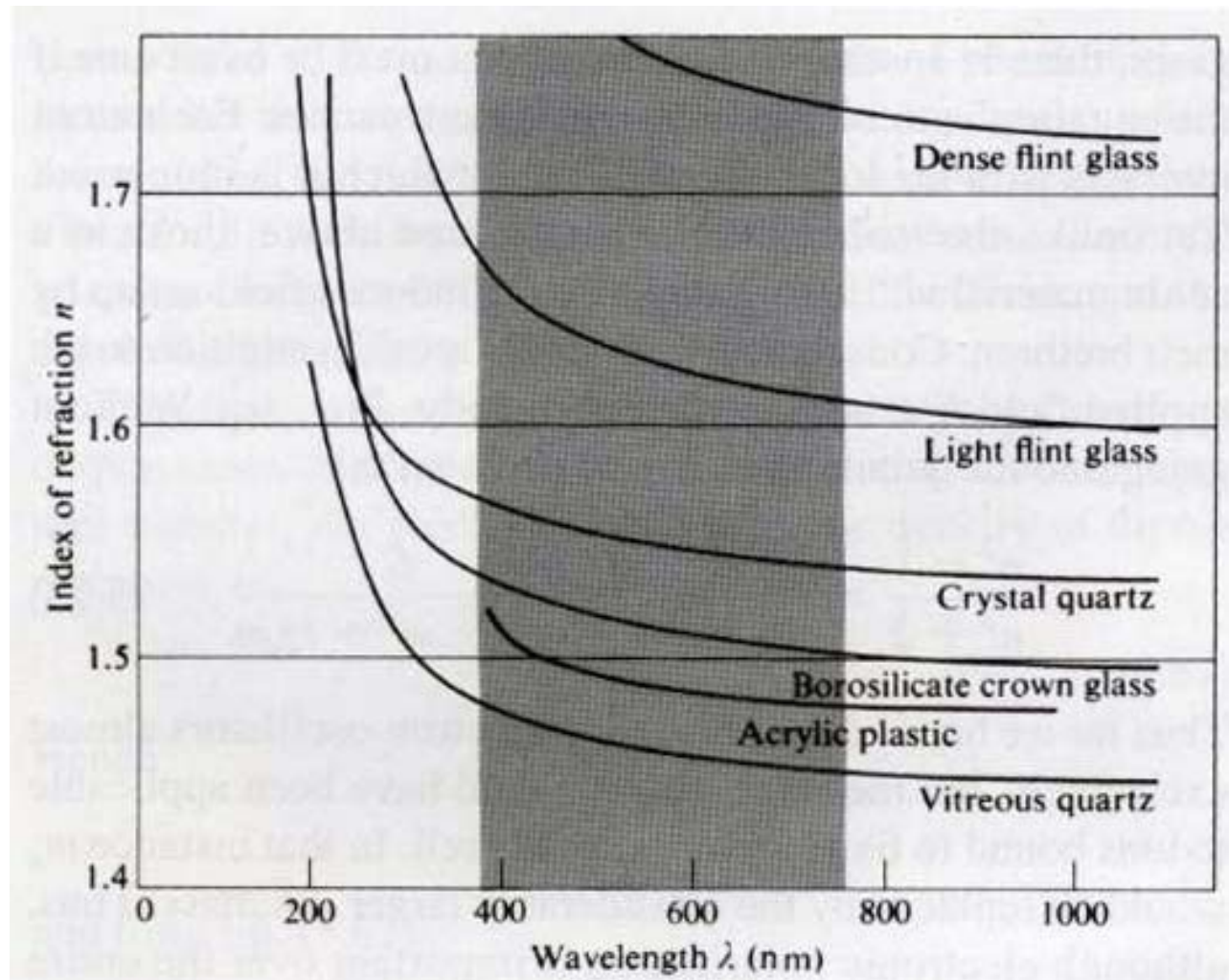
# Wave vector change by grating



$$AB - CD = a(\sin \theta_m - \sin \theta_i) = m\lambda$$

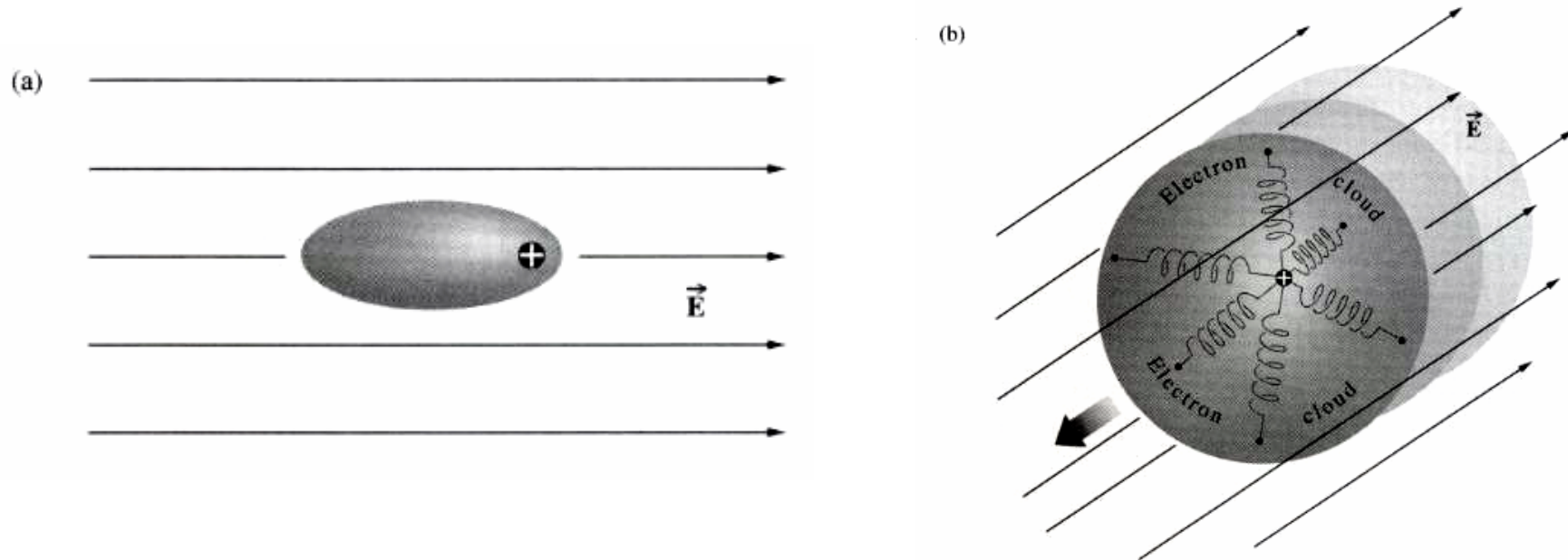
$$(k_{out})_x - (k_{in})_x = k_0(\sin \theta_m - \sin \theta_i) = \frac{2\pi}{\lambda} \frac{m\lambda}{a} = m \left( \frac{2\pi}{a} \right) = mg$$

# Wavelength dependence of various materials





# Dispersion in atom



**Figure 3.38** (a) Distortion of the electron cloud in response to an applied  $\vec{E}$ -field. (b) The mechanical oscillator model for an isotropic medium—all the springs are the same, and the oscillator can vibrate equally in all directions.

## Dispersion relation of SP (I)

$$\begin{aligned} z > 0 \quad H_2 &= (0, H_{y2}, 0) \exp i(k_{x2}x + k_{z2}z - \omega t) \\ E_2 &= (E_{x2}, 0, E_{z2}) \exp i(k_{x2}x + k_{z2}z - \omega t) \end{aligned} \quad (\text{A.1})$$

$$\begin{aligned} z < 0 \quad H_1 &= (0, H_{y1}, 0) \exp i(k_{x1}x - k_{z1}z - \omega t) \\ E_1 &= (E_{x1}, 0, E_{z1}) \exp i(k_{x1}x - k_{z1}z - \omega t) . \end{aligned} \quad (\text{A.2})$$

These fields have to fulfill Maxwell's equations:

$$\text{rot } \mathbf{H}_i = \epsilon_i \frac{1}{c} \frac{\partial}{\partial t} \mathbf{E}_i \quad (\text{A.3})$$

$$\text{rot } \mathbf{E}_i = -\frac{1}{c} \frac{\partial \mathbf{H}_i}{\partial t} \quad (\text{A.4})$$

$$\text{div } \epsilon_i \mathbf{E}_i = 0 \quad (\text{A.5})$$

$$\text{div } \mathbf{H}_i = 0 , \quad (\text{A.6})$$

## Dispersion relation of SP (II)

together with the continuity relations

$$E_{x1} = E_{x2} \quad (\text{A.7})$$

$$H_{y1} = H_{y2} \quad (\text{A.8})$$

$$\varepsilon_1 E_{z1} = \varepsilon_2 E_{z2} \quad (\text{A.9})$$

From (A.7,8) follows the continuity of

$$k_{x1} = k_{x2} = k_x \quad (\text{A.10})$$

Equation (A.3) gives

$$\begin{aligned} \frac{\partial H_{yi}}{\partial z} &= -\varepsilon_i E_{xi} \frac{\omega}{c} \quad \text{or} \\ +k_{z1} H_{y1} &= +\frac{\omega}{c} \varepsilon_1 E_{x1} \\ +k_{z2} H_{y2} &= -\frac{\omega}{c} \varepsilon_2 E_{x2} \quad (\text{A.11}) \end{aligned}$$

## Dispersion relation of SP (III)

Equation (A.11) together with (A.7,8) yield

$$\begin{aligned} H_{y1} - H_{y2} &= 0 \\ \frac{k_{z1}}{\epsilon_1} H_{y1} + \frac{k_{z2}}{\epsilon_2} H_{y2} &= 0 . \end{aligned} \quad (\text{A.12})$$

To obtain a solution, the determinant  $D_0$  has to be zero

$$D_0 = \frac{k_{z1}}{\epsilon_1} + \frac{k_{z2}}{\epsilon_2} = 0 . \quad (\text{A.13})$$

This is the dispersion relation of the SPs in the system Fig. A.1. Further we get from (A.3,4,11)

$$k_x^2 + k_{zi}^2 = \epsilon_i \left( \frac{\omega}{c} \right)^2 . \quad (\text{A.14})$$

From (A.13) together with (A.14) follows

$$k_x = \frac{\omega}{c} \left( \frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2} . \quad (\text{A.15})$$

# Dielectric function of gold

**Table A.2.** Dielectric function of gold (see also caption to Table A.1)

$\lambda [\text{\AA}]$	Real part	Imaginary part	$\lambda [\text{\AA}]$	Real part	Imaginary part
8200	-23.8	2.19	6400	-11.4	1.45
8100	-23.1	2.08	6300	-10.8	1.47
8000	-22.3	1.99	6200	-10.1	1.50
			6100	-9.5	1.54
7900	-21.5	1.90	6000	-8.9	1.59
7800	-20.7	1.82			
7700	-20.0	1.74	5900	-8.2	1.65
7600	-19.3	1.67	5800	-7.5	1.72
7500	-18.6	1.62	5700	-6.8	1.80
			5600	-6.1	1.88
7400	-18.0	1.56	5500	-5.5	1.98
7300	-17.4	1.52			
7200	-16.7	1.48	5499	-4.7	2.20
7100	-16.1	1.45	5300	-3.8	2.44
7000	-15.4	1.43	5200	-3.2	2.80
			5100	-2.5	3.26
6900	-14.7	1.42	5000	-2.0	4.12
6800	-14.1	1.41			
6700	-13.4	1.40			
6600	-12.7	1.41			
6500	-12.1	1.42			

# Source of Noise in Optical Detectors

- (1) Optical shot noise ( $N_s$ ) –  
inherent noise in counting a finite number of photons per unit time
- (2) Dark current noise ( $N_d$ ) –  
thermally induced “firing” of the detector
- (3) Johnson noise ( $N_J$ ) –  
thermally induced current fluctuation in the load resistor

Since the noises are uncorrelated, the different sources of noise add in quadrature

$$N^2 \propto N_s^2 + N_d^2 + N_J^2$$

(MIT 2.710 Optics lecture note)